

Appendices

Appendix-2.1

(Referred to in paragraph 2.2)

Time series data on the State Government Finances

(₹ in crore)

(Figures in bracket indicate percentages to total of each sub-heading)

Particulars	2016-17	2017-18	2018-19	2019-20	2020-21
PART A – RECEIPTS					
1. Revenue Receipts	2,56,875	2,78,775	3,29,978	3,66,393	2,96,176
(i) Own Tax Revenue	85,966(33)	97,393(35)	1,20,122(36)	1,22,826(34)	1,19,897(40)
SGST	-	25,374(26)	46,108(38)	47,232(38)	42,860(36)
Taxes on Sales, Trade, etc.	51,883(60)	31,113(32)	23,798(20)	20,517(17)	22,127(18)
State Excise	14,274(17)	17,320(18)	23,927(20)	27,325(22)	30,061(25)
Taxes on Vehicles	5,148(6)	6,404(7)	6,929(6)	7,715(6)	6,483(5)
Stamps and Registration fees	11,564(13)	13,398(14)	15,733(13)	16,070(13)	16,475(14)
Land Revenue	760(1)	1,336(1)	631(1)	504(0.41)	297(0.25)
Taxes and duties on Electricity	1,556 (2)	2,124 (2)	2,978 (2)	3,453(3)	1,587(1)
Other Taxes	781(1)	324(0.33)	18(0.01)	10(0.01)	7(0.01)
(ii) Non Tax Revenue	28,944(11)	19,795(7)	30,101(9)	81,705(22)	11,846(4)
(iii) State's Share of Union Taxes and Duties	1,09,428(43)	1,20,939(43)	1,36,766(42)	1,17,818(32)	1,06,687(36)
(iv) Grants-in-aid from GoI	32,537(13)	40,648(15)	42,989(13)	44,044(12)	57,746(20)
2. Miscellaneous Capital Receipts	0	0	0	0	0
3. Recoveries of Loans and Advances	259	236	5,313	5,641	1,135
4. Total Revenue and Non debt Capital Receipts (1+2+3)	2,57,134	2,79,011	3,35,291	3,72,034	2,97,311
5. Public Debt Receipts	67,685	47,417	51,595	73,809	86,859
Internal Debt (excluding Ways and Means Advances and Overdrafts)	57,959(86)	43,381(92)	50,791(98)	72,554(98)	78,677(91)
Transactions under Ways and Means Advances and Overdrafts	8,695(13)	2,933(6)	0	0	0
Loans and Advances from GoI ¹	1,031(1)	1,103(2)	804(2)	1,255(2)	8,182(9)
6. Total Receipts in the Consolidated Fund(4+5)	3,24,819	3,26,428	3,86,886	4,45,843	3,84,170
7. Contingency Fund Receipts	173	258	230	662	0
8. Public Account Receipts	3,06,406	3,20,471	3,80,994	3,70,692	3,64,493
9. Total Receipts of the State (6+7+8)	6,31,398	6,47,157	7,68,110	8,17,197	7,48,663
PART B - EXPENDITURE/ DISBURSEMENT					
10. Revenue Expenditure	2,36,592(76)	2,66,224(87)	3,01,728(81)	2,98,833(83)	2,98,543(85)
Plan	49,706(21)				
Non Plan	1,86,886(79)				

¹ During the year 2020-21, it includes back-to-back loan of ₹ 6,007 crore received from GoI in lieu of GST compensation shortfall with no repayment liability for the State.

Particulars	2016-17	2017-18	2018-19	2019-20	2020-21
General Services (including interest payments)	88,255(37)	1,05,782(40)	1,31,057(44)	1,17,675(39)	1,19,057(40)
Social Services	91,861(39)	84,252(32)	91,312(30)	1,03,849(35)	1,09,727(37)
Economic Services	45,834(19)	64,635(24)	67,259(22)	62,809(21)	55,551(19)
Grants-in-aid and contributions	10,642(5)	11,555(4)	12,100(4)	14,500(5)	14,208(5)
11. Capital Expenditure	69,789(22)	39,088(13)	62,463(17)	59,998(16)	52,237(15)
Plan	60,573(87)				
Non Plan	9,216(13)				
General Services	5,727(8)	2,776(7)	3,419(5)	2,495(4)	1,523(3)
Social Services	17,151(25)	11,625(30)	10,589(17)	10,515(18)	12,386(24)
Economic Services	46,911(67)	24,687(63)	48,455(78)	46,988(78)	38,328(73)
12. Disbursement of Loans and Advances	6,741(2)	1,509(0)	6,303(2)	2,120(1)	1,153(0.32)
13. Total Expenditure (10+11+12)	3,13,122	3,06,821	3,70,494	3,60,951	3,51,933
14. Repayments of Public Debt	20,303	15,002	20,717	22,401	26,778
Internal Debt (excluding Ways and Means Advances and Overdrafts)	10,168(50)	10,528(70)	19,080(92)	20,695(92)	25,174(94)
Transactions under Ways and Means Advances and Overdraft	8,695(43)	2,933(20)	0	0	0
Loans and Advances from Government of India	1,440(7)	1,541(10)	1,637(8)	1,706(8)	1,604(6)
15. Appropriation to Contingency Fund	0	0	0	0	0
16. Total Disbursement out of Consolidated Fund (13+14+15)	3,33,425	3,21,823	3,91,211	3,83,352	3,78,711
17. Contingency Fund disbursements	349	413	396	32	100
18. Public Account disbursements	2,96,523	3,14,384	3,61,072	4,39,282	3,59,643
19. Total disbursement by the State (16+17+18)	6,30,297	6,36,620	7,52,679	8,27,666	7,38,454
PART C. DEFICITS/SURPLUS					
20. Revenue Deficit(-)/Revenue Surplus(+) (1-10)	(+)20,283	(+)12,552	(+)28,250	(+)67,560	(-)2,367
21. Fiscal Deficit (-) / Fiscal Surplus (+) (4-13)	(-)55,988	(-)27,810	(-)35,203	(+)11,083	(-)54,622
22. Primary Deficit (-)/Primary Surplus (+) (21+23)	(-)29,052	(+)1,326	(-)3,161	(+)45,896	(-)17,194
23. Interest Payment (included in Revenue Expenditure under Major Head 2049)	26,936	29,136	32,042	34,813	37,428

Appendix-2.2

(Referred to in paragraph 2.4.2)

Collection of Own Tax/Non-Tax Revenue during the period 2016-21

(₹ in crore)

Heads	2016-17	2017-18	2018-19	2019-20	2020-21 Budget Estimate	Actual 2020-21
(A) Own Tax Revenue during 2016-21						
State Goods and Services Tax	-	25,374	46,108	47,232	63,281	42,860
Taxes on Sales, Trade etc.	51,883	31,113	23,798	20,517	28,287	22,127
State Excise	14,274	17,320	23,927	27,325	37,500	30,061
Taxes on Vehicles	5,148	6,404	6,929	7,715	8,650	6,483
Stamps and Registration Fees	11,564	13,398	15,733	16,070	23,197	16,475
Land Revenue	760	1,336	631	504	856	297
Taxes and duties on Electricity	1,556	2,124	2,978	3,453	4,250	1,587
Other taxes	781	324	18	10	0	7
Total A	85,966	97,393	1,20,122	1,22,826	1,66,021	1,19,897
(B) Non Tax Revenue during 2016-21						
Interest Receipts, Dividend and Profits	1,251	1,124	1,888	1,508	2,200	1,220
General Services	5,994	6,806	15,366	73,484	14,841	2,239
Social Services	14,653	1,571	872	978	1,635	1,046
Economic Services	7,046	10,294	11,975	5,735	12,503	7,341
Total B	28,944	19,795	30,101	81,705	31,179	11,846
Grand Total (A + B)	1,14,910	1,17,188	1,50,223	2,04,531	1,97,200	1,31,743

Appendix-2.3

(Referred to in paragraph 2.7.5.4)

Projects completed/under progress on Public Private Partnership mode in Uttar Pradesh as on
31 March 2021

(₹ in crore)

Sl. No.	Name of Department / Name of Project	Estimated cost of project
	Industrial Development Department	
1.	Yamuna Express Way Industrial Development Authority-Yamuna Express Way	13,782.00
	Public Works Department	
2.	UP State Highway Authority (UPSHA)-Bareilly-Almora-Bageshwar Road (SH-37)	355.00
3.	UPSHA –Varanasi – Shaktinagar Road (S.H.-5A)	1,211.96
4.	UPSHA – Muzaffarnagar – Saharanpur via Deoband Road (S.H.-59)	752.88
	Tourism Department	
5.	Operation & Development of Tourism Accommodation/Units of Tourism Department/Uttar Pradesh State Tourism Development Corporation on the basis of lease cum Development Management & Management Contract – Rahi Tourist Accomodations at Ramgarh Tal, Deoria, Mahoba and Palia	88.80
6.	Establishment of Ropeway at important places of Uttar Pradesh- Radh Rani Temple Barsana, Mathura/Devangana Chitrakoot, Vidhyavasini Ashtbhujia to Kalikhoh Mirzapur	34.56
	Transport Department	
7.	Development of Alambagh Bus Terminal Lucknow under Development and Management Arrangement Project	204.00
8.	23 Bus Stations in Uttar Pradesh State Road Transport Corporation under Development and Management Arrangement Project	3,665.00
	Housing and Urban Planning Department	
9.	Awas Bandhu -Lucknow Development Authority –Establishment of international standard cricket stadium and academy in Lucknow	360.00
	Information Technology and Electronics Department	
10.	UP Electronics Corporation Limited-I.T. City, Lucknow	1,500.00
	Energy Department	
11.	U.P. Power Corporation Ltd-Thermal Power Project Bara, Prayagraj	11,500.00
12.	U.P. Power Corporation Ltd-Thermal Power Project Karchana, Prayagraj	10,605.31
13.	U.P. Power Transmission Corporation Ltd-765/400 KV A.I.S. sub station Mainpuri along with construction of 765 KV single circuit Bara-Mainpuri line and related complete scheme	5,158.91
14.	U.P. Power Transmission Corporation Ltd-765/400 K.V. A.I.S. sub station Hapur and Greater Noida along with construction of 765 K.V single circuit Mainpuri-Hapur and Mainpuri-Greater Noida line and related complete scheme	4,706.49
15.	U.P. Power Transmission Corporation Ltd-3x660 MW energy extraction and construction of transmission system from Ghatampur Thermal Power Project on T.B.C.B. basis	2,570.60
16.	U.P. Power Transmission Corporation Ltd-2x660 MW energy extraction from Obra-C Thermal Power Project for power transmission and 400 K.V. G.I.S. sub station, Badaun and construction of related transmission lines	843.21
17.	U.P. Power Transmission Corporation Ltd-2x660 MW energy extraction from Jawaharpur Thermal Power Project for power transmission and 400 K.V. G.I.S. sub station, Firozabad and construction of related transmission lines	608.12
18.	U.P. Power Transmission Corporation Ltd-Construction of 765 K.V. GIS sub station Rampur and Construction of 400 K.V. GIS sub station, Sambhal (with related line) by UP Power Corporation limited	1,035.25

Sl. No.	Name of Department / Name of Project	Estimated cost of project
19.	U.P. Power Transmission Corporation Ltd-Construction of 765 K.V. GIS sub station Meerut and Construction of 400 K.V. GIS sub station Simbhauli (with related line) by UP Power Corporation limited	1,223.25
20	U.P. Power Corporation Ltd-Input based Transmission Franchise, Agra City	360.00
21.	U.P. Power Corporation Ltd- Vishnuprayag Hydro Electric Project	1,715.78
22.	U.P. Power Corporation Ltd-Roza Thermal Power Project	3,112.51
23.	U.P. Power Corporation Ltd-Roza Extension Thermal Power Project	3,098.60
24	U.P. Power Corporation Ltd-Anpara -C Thermal Power Project	4,845.00
25.	U.P. Power Corporation Ltd-Bajaj Thermal Power Project	2,448.02
26.	U.P. Power Corporation Ltd-Lalitpur Thermal Power Project	14,916.00
27.	U.P. Jal Vidyut Nigam-Nirgajani Minor Hydro Electric Project, Muzaffarnagar	108.43
28.	U.P. Jal Vidyut Nigam Ltd-Salawa Minor Hydro Electric Project, Meerut	54.55
	Medical and Health Department	
29.	S.P.M.U-N.R.H.M-100 Bed, Mother and Child Health Wing (in 25 District)	49.00
30.	S.P.M.U-N.R.H.M-108 Service	1,000.00
31.	S.P.M.U-N.R.H.M-102 Service	1,200.00
32.	Family Planning Section-Encouragement Partnership	13.49
33.	S.P.M.U-N.R.H.M-Dialysis (Phase 1, 2 & 3)	73.30
34	S.P.M.U-N.R.H.M-CT Scan (Category-1)	66.06
35	S.P.M.U-N.R.H.M-CT Scan (Category-2)	45.00
36	S.P.M.U-N.R.H.M-Telemedicine (Video Consultation)	3.00
37	S.P.M.U-N.R.H.M-Teleradiology	19.14
38	S.P.M.U-N.R.H.M-Bio medical Equipment Maintenance	72.29
	Urban Development Department	
39	Municipal Solid Waste Management Plan, Kanpur	56.24
40	Municipal Solid Waste Management Plan, Lucknow	52.83
41	Municipal Solid Waste Management Plan, Agra	30.84
42	Municipal Solid Waste Management Plan, Prayagraj	30.41
43	Municipal Solid Waste Management Plan, Varanasi	48.58
44	Municipal Solid Waste Management Plan, Mathura	9.51
45	Municipal Solid Waste Management Plan, Moradabad	13.16
46	Municipal Solid Waste Management Plan, Aligarh	16.07
47	Municipal Solid Waste Management Plan, Muzaffarnagar	6.58
48	Municipal Solid Waste Management Plan, Mirzapur	11.01
49	Municipal Solid Waste Management Plan, Jaunpur	12.20
50	Municipal Solid Waste Management Plan, Sambhal	6.55
51	Municipal Solid Waste Management Plan, Etawah	5.82
52	Municipal Solid Waste Management Plan, Raebareli	8.78
53	Municipal Solid Waste Management Plan, Badaun	5.78
54	Municipal Solid Waste Management Plan, Fatehpur	9.38
55	Municipal Solid Waste Management Plan, Ballia	6.82
56	Municipal Solid Waste Management Plan, Mainpuri	4.28
57	Municipal Solid Waste Management Plan, Kannauj	4.62
58	Municipal Solid Waste Management Plan, Barabanki	5.25
59	Municipal Solid Waste Management Plan, Pilkhua	8.98
60	Municipal Solid Waste Management Plan, Bareilly	13.86
	Civil Aviation Department	
61	Noida International Greenfield Airport, Jewar	29,561.00
	Total	1,23,334.06

Appendix-2.4
(Referred to in paragraph 2.8.2)
Details of Reserve Funds during the year 2020-21

(₹ in lakh)

Particulars	Opening Balance	Receipts	Disbursements	Closing Balance
Reserve Funds bearing Interest				
8115-Depreciation/Renewal Reserve Fund	(-) 4,441.57	0.00	0.00	(-) 4,441.57
105-Depreciation Reserve Fund-Investment Account	(-) 4,441.57	0.00	0.00	(-) 4,441.57
8121-General and Other Reserve Funds	1,75,731.14	0.00	25,205.59	1,50,525.55
129-State Compensatory Afforestation Fund (SCAF)	1,75,731.14	0.00	25,205.59	1,50,525.55
Total- Reserve Fund bearing Interest	1,75,731.14	0.00	25,205.59	1,50,525.55
Investment	(-) 4,441.57	0.00	0.00	(-) 4,441.57
Reserve Funds not bearing Interest				
8222-Sinking Fund	0.00	1,00,000.00	1,00,000.00	0.00
01-Appropriation for Reduction or avoidance of debt	0.00	1,00,000.00	0.00	1,00,000.00
101-Sinking Funds				
02- Sinking Fund – Investment Account	0.00	0.00	1,00,000.00	(-) 1,00,000.00
101-Sinking Fund – Investment Account				
8223-Famine Relief Fund	(-) 78.01	0.00	0.00	(-) 78.01
102-Famine Relief Fund - Investment Account	(-) 78.01	0.00	0.00	(-) 78.01
8225-Roads and Bridges Fund	25,815.81	3,00,000.00	3,00,000.00	25,815.81
101-State Roads and Bridges Fund	25,815.81	3,00,000.00	3,00,000.00	25,815.81
8226-Depreciation/Renewal Reserve Funds	(-) 799.03	0.00	920.50	(-) 1,719.53
102-Depreciation Reserve Funds of Government Non-commercial Departments	(-) 799.03	0.00	920.50	(-) 1,719.53
8229-Development and Welfare Funds	77,189.25	0.00	1,074.75	76,114.50
101-Development Funds For Educational Purposes	4,249.32	0.00	0.00	4,249.32
106-Industrial Development Funds	1,174.75	0.00	1074.75	100.00
109-Cooperative Development Funds	0.01	0.00	0.00	0.01
200-Other Development and Welfare Funds	71,765.17	0.00	0.00	71,765.17
8235-General and other Reserve Funds	1,74,687.51	1,43,147.23	1,65,852.18	1,51,982.56
101-General Reserve Funds of Government Commercial Departments/Undertakings	3,155.12	5,084.91	0.00	8,240.03
111-State Disaster Response Fund (including ₹ 1,000 lakh as balance of State Mitigation Fund)	1,53,699.95	1,30,562.02	1,60,160.94	1,24,101.03
200-Other Funds	17,832.44	7,500.30	5,691.24	19,641.50
Total -Reserve Funds not bearing Interest	2,76,893.54	5,43,147.23	4,67,847.43	3,52,193.34
Investment	(-) 78.01	0.00	1,00,000.00	(-) 1,00,078.01
Grand Total	Reserve Funds	4,52,624.68	5,43,147.23	4,93,053.02
	Investment	(-) 4,519.58	0.00	(-) 1,04,519.58

Appendix-3.1

(Referred to in paragraph 3.2.3)

Lump sum budgetary provisions during the year 2020-21

(₹ in crore)

Sl. No.	Grant No.	Head of Accounts		Description	Allotment	Expenditure	Per cent
1	1	4059-01-051-03	Voted	Lumpsum Provision for construction of office and godowns of excise department	0.50	0.41	82.00
2	9	2045-103-04	Voted	Lumpsum Provision for Formation of Uttar Pradesh Electricity Regulatory Commission	13.28	13.28	100.00
3	9	2045-103-04	Charged	Lumpsum Provision for Formation of Uttar Pradesh Electricity Regulatory Commission	1.69	1.69	100.00
4	11	2071-01-117-07	Voted	Lumpsum Payment of Residual Employer Contribution upto 31.03.2019 of Employees of Agriculture Universities Covered Under N.P.S.	14.00	3.25	23.21
5	25	4070-800-09	Voted	Lumpsum Provision for Land Purchase for Construction of Jails in Newly Created Districts	45.00	45.00	100.00
6	40	2575-06-101-03	Voted	Lumpsum Provision for Solar Light/Pump/Power Fencing	3.00	0.27	9.00
7	40	2575-06-102-03	Voted	Lumpsum Provision for Organization of Committees/ Equipment/Machines/ Furnishing	0.10	0.00	0.00
8	40	2575-06-105-04	Voted	Lumpsum Provision for Skill Development Mission	2.00	0.00	0.00
9	40	2575-06-105-05	Voted	Lumpsum Provision for Fruits and Vegetables/Spices Development	0.20	0.00	0.00
10	40	2575-06-800-04	Voted	Lumpsum Provision for Review, Training and Evaluation	0.50	0.00	0.00
11	40	4575-06-101-03	Voted	Lumpsum Provision for Construction/Extension of Veterinary Hospitals/Animal Service Centres	0.30	0.11	36.67
12	40	4575-06-102-03	Voted	Lumpsum Provision for Water Supply Programme	20.00	9.11	45.55
13	40	4575-06-106-03	Voted	Lumpsum Provision for Rural Electrification	0.05	0.00	0.00
14	40	4575-06-201-03	Voted	Lumpsum provision for construction/extension of boundary wall of school building	2.50	1.66	66.40
15	40	4575-06-337-03	Voted	Lumpsum provision for roads	20.20	11.79	58.37
16	40	4575-06-800-03	Voted	Lumpsum provision for construction/ extension of community/primary health centres and sub-centres buildings	5.00	0.75	15.00

Sl. No.	Grant No.	Head of Accounts		Description	Allotment	Expenditure	Per cent
17	40	4575-06-800-04	Voted	Lumpsum provision for construction/ extension of building of homeopathy hospitals	0.02	0.00	0.00
18	40	4575-06-800-05	Voted	Lumpsum provision for forest security chowki and residence of forest inspector	0.01	0.00	0.00
19	40	4575-06-800-06	Voted	Lumpsum provision for construction of anganwadi centres	0.75	0.00	0.00
20	40	4575-06-800-09	Voted	Lumpsum provision for construction of CC roads and KC drains	15.00	8.98	59.87
21	40	4575-06-800-10	Voted	Lumpsum provision for construction of flood shelter home	0.05	0.00	0.00
22	40	4575-06-800-11	Voted	Lumpsum provision for construction of mini stadium	2.00	0.20	10.00
23	40	4575-06-800-12	Voted	Lumpsum provision for construction of boundary wall and road in premises of schedule castes ashram system school	0.05	0.00	0.00
24	40	4575-06-800-13	Voted	Lumpsum provision for construction of toilets	4.00	0.33	8.25
25	40	4575-06-800-14	Voted	Lumpsum arrangement for construction of heritage work shed tharu shilp training centre/ sell and exhibition centre/ dance music centre/ hut etc.	0.50	0.00	0.00
26	40	4575-06-800-15	Voted	Lumpsum arrangement of construction/ extension/ renovation for tourist place development	2.00	0.25	12.50
27	40	4575-06-800-16	Voted	Lumpsum provision for farmers/ shed/ construction of cow ranch/ extension etc.	0.50	0.12	24.00
28	47	2071-01-117-07	Voted	Lumpsum Payment of residual employers contribution up to 31.03.2019 of employees covered under NPS of aided Technical Educational Institutes	2.50	0.00	0.00
29	48	2071-01-117-07	Voted	Lumpsum Payment of residual employers contribution up to 31.03.2019 of employees covered under NPS of aided Arbi Farsi Madarsas	1.00	0.00	0.00
30	50	4059-01-51-02	Voted	Lumpsum provision for new construction/ extension/ reconstruction/ strengthening of non-residential buildings of division/ districts/ tehsil of the state and purchase of land	20.00	17.99	89.95
31	58	5054-03-337-13	Voted	Lumpsum provision	330.00	379.02	114.85

Sl. No.	Grant No.	Head of Accounts		Description	Allotment	Expenditure	Per cent
32	58	5054-04-337-13	Voted	Lumpsum provision	1,406.00	1,243.51	88.44
33	58	5054-04-337-66	Voted	Lumpsum provision for new works of rural link roads and small bridges for agriculture marketing facilities (financed by NABARD) (District Plan)	50.00	55.40	110.80
34	58	5054-04-337-83	Voted	Lumpsum provision for current works of strengthening/ widening of main/ other district roads of rural areas under RIDF Plan financed by NABARD	500.00	569.39	113.88
35	58	5054-04-337-86	Voted	Lumpsum provision for new work of strengthening/ widening of main/ other district roads of rural areas under RIDF Plan financed by NABARD	100.00	105.70	105.70
36	58	5054-80-800-05	Voted	Lumpsum provision for management and planning works for information technology	10.50	4.91	46.76
37	59	4059-80-051-03	Voted	Lumpsum provision for renovation of non-residential buildings and water distribution works	0.50	0.00	0.00
38	61	2049-04-101-03	Charged	Lumpsum provision for loans	153.49	153.49	100.00
39	61	6004-09-101-03	Charged	Lumpsum provision for loans	276.09	246.66	89.34
40	62	2071-01-117-07	Voted	Lumpsum payment of residual employer contribution upto 31.03.2019 of Government employees covered under NPS	2,400.00	0.00	0.00
41	71	2071-01-117-07	Voted	Lumpsum payment of employer contribution balance upto 31.03.2019 of Government employees covered under NPS	500.00	0.00	0.00
42	72	2071-01-117-07	Voted	Lumpsum payment of employer contribution balance up to 31.03.2019 for employees covered under NPS	365.00	309.16	84.70
43	73	2071-01-117-07	Voted	Lumpsum payment of residual employer contribution up to 31.03.2019 of employees covered under NPS	100.00	62.28	62.28
44	75	2071-01-117-07	Voted	Lumpsum payment of residual employer contribution up to 31.03.2019 of Government employees covered under NPS	0.10	0.00	0.00
45	83	5054-03-789-05	Voted	Lumpsum provision for works of state main/ other districts roads	42.50	79.37	186.75

Sl. No.	Grant No.	Head of Accounts		Description	Allotment	Expenditure	Per cent
46	83	5054-04-789-19	Voted	Lumpsum provision for new construction of railway over/ under bridges	25.00	24.98	99.92
47	83	5054-04-789-27	Voted	Lumpsum provision for current work of rural connecting roads/ minor bridges for agriculture marketing facilities (NABARD Financed) (District Plan)	50.00	38.02	76.04
48	84	2075-800-03	Voted	Lumpsum amount as cash awards to the honoured citizens of Uttar Pradesh with awards mentioned under Ashok Chakra Series	3.00	1.38	46.00
49	87	2075-104-04	Voted	Lumpsum cash awards/ grant by state Government to winners of Veer Chakra Series	0.36	0.38	105.56
50	87	2075-104-05	Voted	Lumpsum soldiers cash awards to soldiers of Border Security Force and recipients of War Sena Medal Award of Uttar Pradesh.	0.98	0.97	98.98
51	87	2075-104-07	Voted	Lumpsum grant to medal winners of Vishishth Seva Medal Series	0.46	0.40	86.96
52	91	4059-01-800-03	Voted	Lumpsum provision for construction of office buildings of stamps and registration department.	1.00	0.70	70.00
53	94	4701-97-051-10	Voted	Lumpsum arrangement for projects of construction of damaged canals, permanent constructions like bridge/ culvert, siphon fall head regulator, gates (commercial).	300.00	54.67	18.22
54	94	4711-01-103-03	Voted	Lumpsum provision for border dams (state sector)	5.00	0.69	13.80
55	95	2701-02-001-05	Voted	Working establishment (lumpsum provision for work charged/ daily wages staff of irrigation department).	25.00	24.83	99.32
Total					6,821.68	3,471.10	50.88

Appendix-3.2

(Referred to in paragraph 3.2.6)

Detail of cases where funding pattern (Central share / State share/ Financial Institution) under Central schemes/Centrally Sponsored schemes not mentioned in Budget Documents 2020-21

(₹ in crore)

Grant No and Name	Account Head	Name of Program	Amount
003-Industries Department (Small Industry and Export Promotion)	2851-102-01-0103	Small Industries Cluster Development Scheme	1.00
011-Agriculture and Other Allied Departments (Agriculture)	4401-800-02-0207	Horticulture and Fertilizer Processing Capital Project	20.00
017-Agriculture and Other Allied Departments (Fisheries)	2405-101-01-0102	Blue Revolution Integrated Development and Management of Fisheries Scheme	62.00
021-Food and Civil Supplies Department	4059-60-051-01-0102	Building of Second Standard Laboratory / Calibration Towers	12.00
037-Urban Development Department	2217-05-191-01-0102	Aid for JNNURM scheme	5.00
	2230-02-101-01-0101	Establishment of a cell on state level	0.10
	4216-02-800-01-0101	Rajeev Housing Yojna	30.00
042-Judicial Department	4059-01-051-01-0110	Construction of new Buildings for the Lucknow bench of the Hon. High Court Allahabad	150.00
044-Tourism Department	5452-80-104-01-0109	Creation of tourism infrastructure facilities in the identified places under PRASAD scheme	25.00
047-Technical Education Department	4202-02-105-01-0105	Establishment and Reinforcement of Technical Institutions under Rastriya Ucchatar Siksha Abhiyan (RUSA)	6.00
049-Women and Child Welfare Department	4235-02-102-01-0127	Upgradation of Anganbadi Centres	13.80
075-Education Department (State Council of Education Research and Training)	4202-01-201-01-0104	District Institute of Education and Training in three districts (Ghaziabad, Amethi and Kasganj)	5.00
081-Social Welfare Department (Tribal Welfare)	4202-01-796-01-0108	Establishment of District Education and Training Institute	0.12
083-Social Welfare Department (Special Component Plan for Scheduled Castes)	2225-01-789-01-0119	Pre-training for IAS/PCS Preliminary Examination in Delhi based Institutes	1.00
	2235-02-789-01-0103	Scheme for Teenage Girls (SAG)	20.00
	4202-01-789-01-0108	Establishment of District Education and Training Institute	3.00
092-Culture Department	2205-800-01-11	Education of art and culture, video of folk traditions, Economic Aid Scheme for meritorious students and old artists	0.25
Total			354.27

Appendix-3.3

(Referred to in paragraph 3.2.6)

Detail of cases where total of Central share and State share in funding pattern more/less than 100 per cent or funding share of other Financial Institution/Grantee is not mentioned in Central schemes / Centrally Sponsored schemes

(₹ in crore)

Grant No and Name	Account	Name of Program	Amount
011-Agriculture and Other Allied Departments (Agriculture)	2401-114-01-0101	National Food Security Mission (OS/TBO) (C.60%/S.60%)	18.40
037-Urban Development Department	2217-03-191-01-0102	Urban Infrastructure Development Scheme for Small and Medium Towns (C.80%/S.10%)	10.00
045-Environment Department	3435-04-103-01-0101	Establishment of Waste Disposal facilities and treatment of unauthorised waste water disposal sites (C.0%/S.50%)	0.50
047-Technical Education Department	4202-02-105-01-0102	Establishment of IIT on PPP model (C.50%/S.35%)	10.00
049-Women and Child Welfare Department	2235-02-103-01-0104	Ujjwala Scheme (C.60%/S.30%)	1.50
083-Social Welfare Department (Special Component Plan for Scheduled Castes)	2401-789-01-0110	National Food Security Mission (OS/TBO) (C.60%/S.60%)	3.40
Total			43.80

Appendix-3.4

(Referred to in paragraph 3.3.3)

Grants in which savings were above ₹ 100 crore during the year 2020-21

(₹ in crore)

Sl. No.	Grant No.	Grant Name	Provision	Expenditure	Savings	Saving excluding Surrendered
Revenue Voted						
1	2	Housing Department	457.12	304.75	152.37	152.37
2	3	Industries Department (Small Industry and Export Promotion)	615.97	472.55	143.42	143.42
3	7	Industries Department (Heavy and Medium Industries)	1,871.98	707.28	1,164.70	1,164.70
4	9	Power Department	14,719.81	11,964.76	2,755.05	2,755.05
5	10	Agriculture and other Allied Departments (Horticultural and Sericulture Development)	771.78	552.63	219.15	219.15
6	11	Agriculture and other Allied Departments (Agriculture)	5,643.34	3,988.34	1,655.00	1,655.00
7	12	Agriculture and other Allied Departments (Land Development and Water Resources)	791.22	88.21	703.01	703.01
8	13	Agriculture and other Allied Departments (Rural Development)	3,884.66	2,980.84	903.82	903.82
9	14	Agriculture and other Allied Departments (Panchayati Raj)	18,119.94	13,300.47	4,819.47	4,819.47
10	15	Agriculture and other Allied Departments (Animal Husbandry)	1,749.70	1,336.83	412.87	412.87
11	18	Agriculture and other Allied Departments (Cooperative)	753.13	634.78	118.35	118.35
12	25	Home Department (Jails)	823.69	673.26	150.43	150.43
13	26	Home Department (Police)	24,981.87	19,387.25	5,594.62	5,594.62
14	31	Medical Department (Medical Education and Training)	3,870.85	3,376.31	494.54	494.54
15	32	Medical Department (Allopathy)	8,609.08	6,094.09	2,514.99	2,514.99
16	33	Medical Department (Ayurvedic and Unani)	1,228.94	898.25	330.69	330.69
17	34	Medical Department (Homoeopathy)	517.53	369.41	148.12	148.12
18	35	Medical Department (Family Welfare)	6,426.60	5,833.97	592.63	592.63
19	36	Medical Department (Public Health)	873.06	539.71	333.35	333.35
20	37	Urban Development Department	17,925.63	14,034.84	3,890.79	3,885.87
21	40	Planning Department	352.49	160.23	192.26	192.26
22	42	Judicial Department	2,802.83	1,835.59	967.24	967.24
23	48	Minorities Welfare Department	2,351.44	1,264.87	1,086.57	1,086.57
24	49	Women and Child Welfare Department	9,536.48	5,118.86	4,417.62	4,417.62
25	50	Revenue Department (District Administration)	1,127.12	808.76	318.36	318.36
26	51	Revenue Department (Relief on Account of Natural Calamities)	3,585.92	1,296.01	2,289.91	2,289.91
27	52	Revenue Department (Board of Revenue and other Expenditure)	4,397.80	3,263.09	1,134.71	907.76

Sl. No.	Grant No.	Grant Name	Provision	Expenditure	Savings	Saving excluding Surrendered
28	54	Public Works Department (Establishment)	2,862.90	365.56	2,497.34	2,497.34
29	59	Public Works Department (Estate Directorate)	291.91	187.86	104.05	104.05
30	60	Forest Department	901.55	566.71	334.84	334.84
31	61	Finance Department (Debt Services & other Expenditure)	16,034.25	14,735.57	1,298.68	1,298.68
32	62	Finance Department (Superannuation Allowances and Pensions)	56,455.11	42,724.08	13,731.03	13,731.03
33	63	Finance Department (Treasury and Accounts Administration)	302.79	200.86	101.93	101.93
34	69	Vocational Education Department	900.36	596.59	303.77	303.77
35	70	Science and Technology Department	523.14	319.99	203.15	203.15
36	71	Education Department (Primary Education)	54,929.10	41,183.86	13,745.24	13,745.24
37	72	Education Department (Secondary Education)	12,795.82	9,644.32	3,151.50	3,151.50
38	73	Education Department (Higher Education)	3,351.42	2,537.62	813.80	813.80
39	78	Secretariat Administration Department	1,158.40	851.91	306.49	306.49
40	80	Social Welfare Department (Social Welfare and Welfare of Scheduled Castes)	4,846.14	4,631.63	214.51	214.51
41	81	Social Welfare Department (Tribal Welfare)	970.69	855.24	115.45	115.45
42	83	Social Welfare Department (Special Component Plan for Scheduled Castes)	17,741.87	13,255.74	4,486.13	4,486.13
43	89	Institutional Finance Department (Commercial Tax)	1,078.03	790.95	287.08	287.08
44	91	Institutional Finance Department (Stamps and Registration)	402.87	298.31	104.56	104.56
45	95	Irrigation Department (Establishment)	5,181.16	3,562.69	1,618.47	1,618.47
		Total	3,19,517.49	2,38,595.43	80,922.06	80,690.19
Capital Voted						
1	2	Housing Department-	1,929.52	1,590.27	339.25	339.25
2	7	Industries Department (Heavy and Medium Industries)	5,605.01	5,364.47	240.54	240.54
3	9	Power Department	10,322.09	9,785.02	537.07	537.07
4	13	Agriculture and other Allied Departments (Rural Development)	16,431.50	9,050.60	7,380.90	7,380.90
5	14	Agriculture and Other Allied Departments (Panchayati Raj)	340.94	132.79	208.15	208.15
6	15	Agriculture and other Allied Departments (Animal Husbandry)	261.10	117.41	143.69	143.69
7	21	Food and Civil Supplies Department	15,923.47	5,569.69	10,353.78	10,353.78
8	25	Home Department (Jails)	301.82	132.82	169.00	169.00
9	26	Home Department (Police)	2,303.87	1,000.67	1,303.20	1,303.20

Sl. No.	Grant No.	Grant Name	Provision	Expenditure	Savings	Saving excluding Surrendered
10	31	Medical Department (Medical Education and Training)	2,589.36	1,376.10	1,213.26	1,213.26
11	32	Medical Department (Allopathy)	621.27	353.88	267.39	267.39
12	37	Urban Development Department	285.77	16.80	268.97	268.97
13	38	Civil Aviation Department	2,604.75	1,728.92	875.83	875.83
14	40	Planning Department	1,494.20	596.63	897.57	350.37
15	42	Judicial Department	1,934.45	247.25	1,687.20	1,687.20
16	44	Tourism Department	921.70	434.97	486.73	486.73
17	48	Minorities Welfare Department	807.81	116.27	691.54	0.00
18	49	Women and Child Welfare Department	154.46	15.06	139.40	139.40
19	58	Public Works Department (Communications-Roads)	12,428.26	11,434.10	994.16	994.16
20	61	Finance Department (Debt Services & other Expenditure)	205.00	19.61	185.39	185.39
21	79	Social Welfare Department (Empowerment of the Handicapped and Welfare of the Backward Classes)	184.30	79.34	104.96	104.96
22	81	Social Welfare Department (Tribal Welfare)	225.28	94.80	130.48	130.48
23	83	Social Welfare Department (Special Component Plan for Scheduled Castes)	8,422.08	5,983.40	2,438.68	2,438.68
24	84	General Administration Department	220.06	15.64	204.42	204.42
25	94	Irrigation Department (Works)	7,129.96	5,588.73	1,541.23	1,541.23
		Total	93,648.03	60,845.24	32,802.79	31,564.05
Total (Revenue Voted + Capital Voted)			4,13,165.52	2,99,440.67	1,13,724.85	1,12,254.24
Revenue Charged						
1	9	Power Department	4,331.68	3,999.69	331.99	331.99
2	42	Judicial Department	624.93	503.21	121.72	121.72
3	61	Finance Department (Debt Services & other Expenditure)	58,730.66	34,208.85	24,521.81	24,521.81
Total			63,687.27	38,711.75	24,975.52	24,975.52
Capital Charged						
1	61	Finance Department (Debt Services & other Expenditure)	30,485.01	20,342.40	10,142.61	10,142.61
Total			30,485.01	20,342.40	10,142.61	10,142.61
Total (Revenue Charged + Capital Charged)			94,172.28	59,054.15	35,118.13	35,118.13
Grand Total			5,07,337.80	3,58,494.82	1,48,842.98	1,47,372.37

Appendix-3.5

(Referred to in paragraph 3.3.4)

Grants in which persistent savings were above ₹ 100 crore in the last five years (2016-2021)

(₹ in crore)

Sl. No.	Grant No.	Name of the Grant	2016-17	2017-18	2018-19	2019-20	2020-21
Revenue Voted							
1	11	Agriculture and other Allied Departments (Agriculture)	828.58	14,633.26	888.77	1,078.24	1,655.00
2	13	Agriculture and other Allied Departments (Rural Development)	302.86	573.19	575.80	648.55	903.83
3	15	Agriculture and other Allied Departments (Animal Husbandry)	154.19	214.55	310.75	223.83	412.87
4	26	Home Department (Police)	886.35	1,215.29	374.07	1,809.86	5,594.62
5	32	Medical Department (Allopathy)	1,088.42	867.16	1,282.19	1,935.09	2,514.99
6	33	Medical Department (Ayurvedic and Unani)	240.85	228.86	336.93	331.55	330.70
7	35	Medical Department (Family Welfare)	1,263.58	858.14	719.19	988.46	592.63
8	36	Medical Department (Public Health)	281.30	100.12	184.56	261.71	333.35
9	37	Urban Development Department	2,751.47	5,574.84	3,451.60	8,753.97	3,890.80
10	42	Judicial Department	432.26	482.06	473.88	813.17	967.23
11	48	Minorities Welfare Department	973.78	1,088.19	1,034.01	1,081.21	1,086.58
12	49	Women and Child Welfare Department	1,106.73	2,247.92	2,464.72	3,554.23	4,417.62
13	51	Revenue Department (Relief on Account of Natural Calamities)	4,132.50	816.86	1,370.92	532.44	2,289.91
14	52	Revenue Department (Board of Revenue and other Expenditure)	599.42	416.46	468.34	1,547.59	1,134.72
15	54	Public Works Department (Establishment)	1,778.37	996.61	1,769.10	1,878.77	2,497.34
16	60	Forest Department	138.22	156.45	188.34	245.90	334.84
17	69	Vocational Education Department	123.86	185.31	101.54	124.91	303.76
18	71	Education Department (Primary Education)	2,414.62	17,493.77	14,921.22	14,407.71	13,745.25
19	72	Education Department (Secondary Education)	394.07	620.44	379.82	1,502.17	3,151.50
20	73	Education Department (Higher Education)	460.29	451.39	761.39	342.64	813.80
21	80	Social Welfare Department (Social Welfare and Welfare of Scheduled Castes)	386.58	702.81	776.69	139.51	214.52
22	83	Social Welfare Department (Special Component Plan for Scheduled Castes)	1,704.21	5,573.74	4,085.46	4,255.67	4,486.13
23	95	Irrigation Department (Establishment)	1,180.41	701.41	906.14	1,344.21	1,618.46
Total			23,622.92	56,198.83	37,825.43	47,801.39	53,290.45
Capital (Voted)							
1	13	Agriculture and other Allied Departments (Rural Development)	3,300.96	5,179.06	9,278.13	9,371.66	7,380.90
2	32	Medical Department (Allopathy)	281.17	225.40	204.87	344.64	267.39
3	40	Planning Department	336.21	354.32	251.01	1,021.53	897.57
4	42	Judicial Department	581.42	855.26	983.23	1,377.26	1,687.20
5	48	Minorities Welfare Department	345.00	106.53	314.54	368.09	691.54
Total			4,844.76	6,720.57	11,031.78	12,483.18	10,924.60
Grand Total (Revenue Voted+Capital Voted)			28,467.68	62,919.40	48,857.21	60,284.57	64,215.05

Appendix-3.6
(Referred to in paragraph 3.3.5)
Unnecessary Re-appropriation

(₹ in crore)

Sl. No.	Grant No.	Head of Accounts	Original Provision	Re-appropriation	Total	Expenditure	Savings
1	1	2039-State Excise 001-Direction and Administration 03-Supervision-	48.06	1.07	49.13	39.30	9.83
2	1	2039- State Excise 001 Direction and Administration 05- Distilleries	206.93	2.74	209.67	188.32	21.35
3	8	2058- Stationery and Printing 001- Direction and Administration 03- Establishment (Headquarters)	55.67	0.20	55.87	53.07	2.80
4	8	2058- Stationery and Printing 103- Govt. Presses 04- Govt. Press, Lucknow	52.05	0.03	52.08	36.19	15.89
5	8	2058- Stationery and Printing 103- Govt. Presses 06- Govt. Press, Rampur	9.18	0.06	9.24	7.92	1.32
6	8	2058- Stationery and Printing 103- Govt. Presses 07- Govt. Press, Varanasi	11.11	0.05	11.16	6.89	4.27
7	10	2406- Forestry and Wild Life 02- Environmental Forestry and Wild Life 112- Public Gardens 03- Gardens	42.78	1.37	44.15	38.14	6.01
8	10	2415- Agricultural Research and Education 80- General 004- Research 06- Research and Training Centre	16.04	0.06	16.10	7.11	8.99
9	11	2435- Other Agricultural Programmes 01- Marketing and quality control- 101- Marketing facilities 04 Market Regularity and Training Centre	3.66	0.02	3.68	3.31	0.37
10	23	2401- Crop Husbandry 001- Direction and Administration 04- Regional Offices	7.76	0.24	8.00	7.54	0.46
11	33	2210- Medical and Public Health 02- Urban Health Services-Other systems of medicine 101- Ayurveda 04- Departmental Drug Manufacture	15.25	2.00	17.25	11.96	5.29
12	33	2210- Medical and Public Health 02- Urban Health Services-Other 103- Unani 04- Departmental Drug Manufacture	2.04	0.40	2.44	2.01	0.43

Sl. No.	Grant No.	Head of Accounts	Original Provision	Re-appropriation	Total	Expenditure	Savings
13	33	4210- Capital Outlay on Medical and public health 01-Urban Health Services 800- Other expenditure 08- Establishment of Ayush University	10.00	10.00	20.00	0.00	20.00
14	41	2015- Elections- 103- Preparation and Printing of Electoral rolls 05- Establishment Expenditure of Election-	66.30	0.18	66.48	43.97	22.51
15	42	2014- Administration of Justice 105- Civil and Session Courts 01-Central Sponsored Schemes	73.39	6.30	79.69	49.21	30.48
16	42	2014- Administration of Justice 114- Legal Advisers and Counsels 03- Advocate General	9.54	0.12	9.66	8.37	1.29
17	42	2052- Secretariat-General Services 091-Attached Offices 03- Legal Cell-Uttar Pradesh Bhawan New Delhi-	3.04	0.20	3.24	2.08	1.16
18	42	2235- Social Security and Welfare 60- Other Social Security and Welfare Programmes 200- Other Programmes 04- State Legal Service Authority and District Legal Service Authority-	25.92	2.21	28.13	15.81	12.32
19	49	2235-Social Security and Welfare 02- Social Welfare 001-Direction and Administration 03-Women Welfare Directorate-	15.43	1.90	17.33	11.11	6.22
20	49	2235-Social Security and Welfare 02- Social Welfare 102- Child Welfare 01-Central Sponsored Schemes-	6,159.56	14.18	6,173.74	2,832.84	3,340.90
21	49	2235-Social Security and Welfare 02- Social Welfare 103- Women's Welfare 01-Central Sponsored Schemes	18.26	424.64	442.90	6.18	436.72
22	51	2245-Relief on account of Natural Calamities 05- State Disaster Response Fund 800- Other expenditure 06-Expenditure from State Disaster	2,578.00	800.00	3,378.00	1,601.61	1,776.39
23	59	2059- Public Works 01- Office Buildings 053- Maintenance and Repairs 09- Jawahar Bhawan and Indira Bhawan Compound	20.82	1.00	21.82	14.72	7.10
24	59	2059- Public Works 60- Other Buildings 053- Maintenance and Repairs 05- Arrangement for other Guest Houses (Mumbai and Kolkata)	3.40	0.15	3.55	1.51	2.04

Sl. No.	Grant No.	Head of Accounts	Original Provision	Re-appropriation	Total	Expenditure	Savings
25	59	2216- Housing 01- Government Residential Buildings 700- Other Housing 09- Residence Allotted to MLAs	31.68	1.00	32.68	13.01	19.67
26	63	2054- Treasury and Accounts Administration 095- Directorate of Accounts and Treasuries 03- Treasury Directorate	22.35	0.46	22.81	15.98	6.83
27	68	2011- Parliament/State/ Union Territory Legislatures 02- State/Union Territory Legislatures 103- Legislative Secretariat 03- Legislative Assembly Secretariat	76.43	8.75	85.18	75.04	10.14
28	71	2202- General Education 01-Elementary Education 001- Direction and Administration 03- Directorate Establishment	23.62	0.51	24.13	19.94	4.19
29	72	2202- General Education 01- Elementary Education 102- Assistance to Non-Government Primary Schools 04-Subsidiary grants	93.00	0.68	93.68	86.88	6.80
30	72	2202- General Education 02- Secondary Education 108- Examinations 04-Regional Offices of Madhyamik Shiksha Parishad	56.90	0.88	57.78	37.57	20.21
31	76	2210- Medical and Public health 01- Urban Health Services- Allopathy 102- Employees State Insurance Scheme 04- Regional Offices	1.18	0.05	1.23	0.91	0.32
32	76	2210- Medical and Public Health 01- Urban Health Services- Allopathy 102- Employees State Insurance Scheme 06- Dispensaries	82.26	0.06	82.32	77.65	4.67
33	76	2210- Medical and Public Health 02- Urban Health Services-Other systems of medicine 102- Homeopathy 03- Employees State Insurance Scheme	2.80	0.01	2.81	2.34	0.47
34	76	2230- Labour and Employment 01- Labour 001- Direction and Administration 03- Establishment of Labour Commissioner	9.46	0.81	10.27	9.23	1.04

Sl. No.	Grant No.	Head of Accounts	Original Provision	Re-appropriation	Total	Expenditure	Savings
35	76	2230- Labour and Employment 01- Labour 004-Research and statistics 03-Resarch Reports and Labour Statistics	5.09	0.04	5.13	3.84	1.29
36	76	2230- Labour and Employment 01- Labour 101- Industrial Relations 04- Settlement of disputes	65.51	1.13	66.64	56.82	9.82
37	76	2230- Labour and Employment 01- Labour 101- Industrial Relations 05- Strengthening of Industrial Management and Merger of decentralisation Assemblies and Commissions Committees,	0.36	0.04	0.40	0.30	0.10
38	76	2230- Labour and Employment 01- Labour 103- General Labour welfare 03- General Housing Scheme	9.75	0.10	9.85	6.69	3.16
39	76	2230- Labour and Employment 01- Labour 103- General Labour Welfare 09- Formation of committee for protection of women welfare	0.18	0.01	0.19	0.14	0.05
40	80	2225- Welfare of Scheduled Castes, Scheduled Tribes, Other Backward Classes and Minorities 01- Welfare of Scheduled Castes 277- Education 03- Operation of Industrial Training Centres	10.46	1.00	11.46	8.33	3.13
41	81	2225- Welfare of Scheduled Castes, Scheduled Tribes, Other Backward Classes and Minorities 02- Welfare of Scheduled Tribes 796- Tribal Area Sub-plan 11- Scholarship and non-recurring grant to Scheduled Tribes students of Class 1 to 10 th .	1.10	2.19	3.29	0.48	2.81
42	81	2225- Welfare of Scheduled Castes, Scheduled Tribes, Other Backward Classes and Minorities 02- Welfare of Scheduled Tribes 796- Tribal Area Sub-plan 17- Janjati sub-scheme	3.06	0.07	3.13	1.93	1.20
43	81	4202- Capital Outlay on Education, Sports, Art and Culture 01- General Education 796- Tribal Area Sub-plan 01- Central Sponsored Schemes	16.24	0.74	16.98	13.15	3.83

Sl. No.	Grant No.	Head of Accounts	Original Provision	Re-appropriation	Total	Expenditure	Savings
44	83	4202- Capital Outlay on Education, Sports, Art and Culture 01- General Education 789- Special Component Plan for Scheduled Castes 01- Central Sponsored Scheme	271.77	8.32	280.09	241.39	38.70
45	94	2700- Major Irrigation 10- Betwa Canal (Commercial) 101- Maintenance and Repairs 03- Other Maintenance Expenses	11.53	1.21	12.74	11.03	1.71
46	94	2701- Medium Irrigation 07- Ken Canal (Commercial) 101- Maintenance and Repairs 03- Other Maintenance Expenses	4.46	0.04	4.50	4.11	0.39
47	94	2701- Medium Irrigation 36- Other Irrigation Schemes (Commercial) 101- Maintenance and Repairs 03- Other Maintenance Expenses	5.09	0.30	5.39	3.81	1.58
48	94	2701- Medium Irrigation 39- Kabrai Dam/Canals (Commercial) 101- Maintenance and Repairs 03- Other Maintenance Expenses	0.04	0.08	0.12	0.02	0.10
49	94	2701- Medium Irrigation 42- Augasi Pump Canal (Commercial) 101- Maintenance and Repairs 03- Other Maintenance Expenses	0.35	0.03	0.38	0.33	0.05
50	94	2701- Medium Irrigation 45- Sahajad Dam/Canals (Commercial) 101- Maintenance and Repairs 03- Other Maintenance Expenses	0.34	0.05	0.39	0.33	0.06
51	94	2701- Medium Irrigation 68- Gunta Dam Canal System (Commercial) 101- Maintenance and Repairs 03- Other Maintenance Expenses	0.24	0.17	0.41	0.24	0.17
52	94	4702- Capital Outlay on Minor Irrigation 101- Surface Water 03- Lift Irrigation	29.50	0.70	30.20	18.92	11.28
		Total	10,288.94	1,298.55	11,587.49	5,699.58	5,887.91

Appendix-3.7

(Referred to in paragraph 3.3.7)

Schemes for which original provisions could not be utilised

(₹ in crore)

Sl. No.	Grant No.	Name of Department	Major Head	Name of scheme	Original Provision	Expenditure	Saving
1.	1	Excise Department	4047-800-03	State Excise Duty-Supervision	1.00	0.00	1.00
2.	2	Housing Department	4202-04 -800-04	Establishment of Jai Prakash Narayan International Centre in Gomti Nagar, Lucknow	40.00	0.00	40.00
3.			4202-04 -800-05	Sanskriti School, Lucknow	20.00	0.00	20.00
4.			4216-01 -106-03	Construction of Towers of Civil Services Institute	25.00	0.00	25.00
5.			4217-01 -800-03	"Rashtra Prerna Sthal" in Lucknow	50.00	0.00	50.00
6.			4217-60 -190-09	Metro rail project in Varansi, Gorakhpur and in other cities	200.00	0.00	200.00
7.			3	Industries Department (Small Industry and Export Promotion)	2851-102-29	Micro, Small and Medium Enterprise Promotion Policy, 2017	10.00
8.	2851-800-03	Participation in National and International Trade Fair and Exhibitions			1.00	0.00	1.00
9.	4851-104-03	Carpet Market in Sant Ravidas Nagar (Bhadohi)			2.00	0.00	2.00
10.	6	Industries Department (Handloom Industry)	2851-108-06	Re-imburement of rebate in electricity tax to teasers	1.00	0.00	1.00
11.	7	Industries Department (Heavy and Medium Industries)	2852-07-202-06	Management, maintenance and operation of Central Computer Centre of State Govt. by UPDESCO and Consultancy Services to be provided to different Government Departments	1.00	0.00	1.00
12.			2852-07-202-21	Establishment of I.T. Pool Fund	2.50	0.00	2.50
13.			2852-07-202-27	E-Office arrangement in Government Offices	10.00	0.00	10.00
14.			2885-60-800-21	Special Investment Board	5.00	0.00	5.00
15.			4859-02-800-11	Establishment of Electronic Manufacturing Cluster	10.00	0.00	10.00
16.			4859-02-800-16	Arrangement of e-Office in Government Offices	10.00	0.00	10.00
17.	9	Power Department	2801-05-800-21	For prevention of electricity theft	1.00	0.00	1.00
18.			4801-05-190-14	With regard to make available Share capital for assistance received from A.D.B. for U.P. Power sector improvement project to Uttar Pradesh Power Corporation Limited	300.00	0.00	300.00
19.			4801-80-190-04	Share Capital for electrification under Pradhan Mantri Sahaj Bijli Har Ghar Yojna (Saubhagya)	79.00	0.00	79.00

Sl. No.	Grant No.	Name of Department	Major Head	Name of scheme	Original Provision	Expenditure	Saving		
20.	10	Agriculture and other Allied Departments (Horticulture and Sericulture)	4401-119-01	Central Sponsored Schemes	8.47	0.00	8.47		
21.	11	Agriculture and other Allied Departments (Agriculture)	2071-01 -117-09	Payment of Interest on late depositing subscriber contribution of employees of Agriculture Universities covered under NPS	2.00	0.00	2.00		
22.			2401-109-09	Trained Agriculture Entrepreneur Self Dependent Scheme	6.47	0.00	6.47		
23.			2401-109-11	Scientific Farming and Climate Change Impact Management	15.07	0.00	15.07		
24.			2401-113-01	Central Sponsored Schemes	300.00	0.00	300.00		
25.			2401-115-03	Payment of crop loan of minor and marginal farmers	300.00	0.00	300.00		
26.			2402-101-05	Strengthening of Bio-fertilizer production laboratories/ programme of encouragement of use of bio-fertilizers	4.06	0.00	4.06		
27.			2402-102-10	Integrated Rain Water Management (Water Shed Development) Project aided by NABARD	100.00	0.00	100.00		
28.			4401-107-04	Control of insect/disease through different Environmental resources	1.00	0.00	1.00		
29.			4415-80 -277-29	Agriculture University, Banda	5.05	0.00	5.05		
30.			4415-80 -277-31	Chandra Shekhar Azad Agriculture and Technological University, Kanpur	4.14	0.00	4.14		
31.			4435-01-101-06	Implementation of Uttar Pradesh Agriculture Export Policy	5.00	0.00	5.00		
32.			13	Agriculture and other Allied Departments (Rural Department)	2702-80-800-12	Integrated Mini Tubewell Scheme	6.00	0.00	6.00
33.					4215-01-102-07	Chief Minister R.O. Drinking Water Scheme	19.75	0.00	19.75
34.					4215-01-102-08	Pipe Drinking Water Scheme in Bundelkhand /Vindhya and quality affected villages	2,560.00	0.00	2,560.00
35.	4515-103-06	Community Development-Main Establishment			3.00	0.00	3.00		
36.	4515-800-03	Vidhayak Nidhi			1,008.00	0.00	1,008.00		
37.	4702-102-11	Construction of ground water recharging checkdam and header (Financed by NABARD)			2.00	0.00	2.00		
38.	4702-800-10	Construction/Strengthening of Minor Irrigation and Water Testing Training Institute building			1.00	0.00	1.00		

Sl. No.	Grant No.	Name of Department	Major Head	Name of scheme	Original Provision	Expenditure	Saving
39.	14	Agriculture and other Allied Departments (Panchayati Raj)	4515-101-09	Establishment of two Chandrasekhar Azad Rural Development Secretariat in each Nyay Panchayat	30.00	0.00	30.00
40.	15	Agriculture and other Allied Departments (Animal Husbandry)	2403-101-04	Strengthening of Veterinary hospital and Veterinary Service Centres (District Plan)	2.00	0.00	2.00
41.			2403-104-02	National Livestock Management Programme	8.41	0.00	8.41
42.			2403-800-05	Pt. Deen Dayal Veterinary Science University and Cow Research Institute, Mathura	10.35	0.00	10.35
43.			4403-101-01	Central Sponsored Schemes	3.40	0.00	3.40
44.			4403-101-07	Veterinary Service Centre / " D " category Veterinary Hospitals (District Plan)	4.00	0.00	4.00
45.			4403-101-18	Establishment of Veterinary Degree College in District Gorakhpur and Bhadohi	40.00	0.00	40.00
46.			16	Agriculture and other Allied Departments (Dairy Development)	2404-102-01	Central Sponsored Schemes	4.56
47.	2404-102-7	Revival of Milk Federations under NPDD Scheme			19.66	0.00	19.66
48.	2404-102-09	U.P. Milk Policy-2018			5.00	0.00	5.00
49.	2404-800-04	Nand Baba Award			1.74	0.00	1.74
50.	6404-190-08	Establishment of New Dairy in Mathura			55.00	0.00	55.00
51.	17	Agriculture and other Allied Departments (Fisheries)	2405-800-12	Fishermen Welfare Fund	25.00	0.00	25.00
52.	21	Food and Civil Supplies Departments	2408-01-001-02	F.P.S.Automation and D.B.T. Scheme	1.00	0.00	1.00
53.	22	Sports Department	2204-104-30	Organisation of sports competitions on the occasion of birth centenary of Pandit Deen Dayal Upadhyaya Ji	2.09	0.00	2.09
54.			2204-104-36	Eklavya Sports Fund	25.00	0.00	25.00
55.			4202-03-800-71	Construction of hostel in Green park, Kanpur	3.82	0.00	3.82
56.	24	Cane Development Department (Sugar Industry)	2852-08-201-08	Concession/Rebate to Sugarcane Industry under Generation and Aaswani Promotion Policy 2013	25.00	0.00	25.00
57.			4401-108-03	Sugar Kisan Institute, Moradabad	10.00	0.00	10.00
58.			4415-80-004-03	Re-establishment of Cane Research Institute, Gorakhpur	10.25	0.00	10.25
59.			6860-04-101-21	Loan for works of Capacity expansion/modernisation/co-generation plant/ establishment and renovation of distillery etc. of co-operative sugar mills	20.00	0.00	20.00
60.	26	Home Department (Police)	2055-109-11	Provision for transportation of Forces of Central/ External States	10.00	0.00	10.00

Sl. No.	Grant No.	Name of Department	Major Head	Name of scheme	Original Provision	Expenditure	Saving
61.			2055-109-12	Smart City Surveillance System	1.00	0.00	1.00
62.			2235-60-800-03	Terrorist activities, Fire-accidents etc. affecting public arrangement	1.00	0.00	1.00
63.			4055-207-12	Purchase of CCTV and concomitant equipments for traffic management of districts	9.00	0.00	9.00
64.			4055-210-03	Uttar Pradesh Police Forensic University	20.00	0.00	20.00
65.			4055-211-04	Establishment of Security Line in Lucknow	19.45	0.00	19.45
66.			4070-800-24	Establishment of Solar Power Plant on Fire fighting centres	20.00	0.00	20.00
67.	31	Medical Department (Medical Education and Training)	4210-03-105-13	Establishment of Turshari Care Cancer Centre in Sanjai Gandhi Post Graduate Medical Institute, Lucknow	2.50	0.00	2.50
68.			4210-03-105-16	Trauma Centre in Sanjay Gandhi Post Graduate Medical Science Institute, Lucknow	10.00	0.00	10.00
69.			4210-03-105-22	J.K. Institute of Radiology and Cancer Research, Kanpur	19.00	0.00	19.00
70.			4210-03-105-64	Establishment of Intensive Care Unit (ICU) in Government Medical Colleges	1.00	0.00	1.00
71.			4210-03-105-67	Establishment of Medical College Upgrading five District Hospital	48.86	0.00	48.86
72.			4210-03-105-73	For purchasing ambulance/critical care ambulance in Government Medical Colleges/ Institutions	1.50	0.00	1.50
73.			4210-03-105-75	Nursing College in Moti Lal Nehru Medical College, Prayagraj	2.00	0.00	2.00
74.			4210-03-105-78	Hon'ble Atal Bihari Vajpae U.P. Medical University Lucknow	45.00	0.00	45.00
75.			4210-03-105-79	Establishment of AIIMS in Raebareli	1.00	0.00	1.00
76.			6075-800-03	Revolving for treatment of State Employees in SGPGI, Lucknow	1.00	0.00	1.00
77.	32	Medical Department (Allopathy)	2210-01-110-08	E-Hospital pilot project in Hospitals of District Lucknow	5.00	0.00	5.00
78.			2210-01-110-09	State Employees Cashless Medical Scheme	35.00	0.00	35.00
79.			2210-80-800-11	Biometric Attendance System	2.00	0.00	2.00
80.			4210-01-110-03	Allopathy Integrated Hospital and Dispensary	3.00	0.00	3.00
81.			4210-01-110-04	Construction of T.B.Clinic Building	1.00	0.00	1.00
82.			4210-01-110-16	Construction of 300 bedded hospital building in Aligarh	1.00	0.00	1.00

Sl. No.	Grant No.	Name of Department	Major Head	Name of scheme	Original Provision	Expenditure	Saving
83.			4210-02-110-07	Construction of building of 100 bedded hospital in Milkipur District Ayodhya	1.04	0.00	1.04
84.			4210-02-110-19	Allopathic Hospital and Dispensary	3.75	0.00	3.75
85.	34	Medical Department (Homocopathy)	4210-01-800-03	Construction of building of Govt. National Homocopathy Medical College, Lucknow, Pandit Jawahar lal Nehru Homeopathy Medical College, Kanpur and Lal Bahadur Shastri Govt. Homeopathy Medical College, Prayagraj	7.50	0.00	7.50
86.			4210-01-800-05	Construction of office building of Homeopathic Medical Officers	3.71	0.00	3.71
87.	37	Urban Development Department	2215-02-106-01	Central Plan/ Centrally Sponsored Schemes	18.73	0.00	18.73
88.			2217-03-191-06	Dr. A.P.J. Abdul Kalam Urban Solar Pump Scheme	5.00	0.00	5.00
89.			2217-80-192-08	Construction and development of Parks	60.00	0.00	60.00
90.			2217-80-800-03	Advisory Service under Schemes Implemented on Public Private Partnership mode	2.00	0.00	2.00
91.			2235-60-800-04	Financial assistance to dependents of sweepers died during sanitization of sewers	2.00	0.00	2.00
92.			4215-02-800-01	Central Plan/Centrally Sponsored Schemes	5.77	0.00	5.77
93.			4217-60-800-03	Establishment of Training Centre in district Ghaziabad	50.00	0.00	50.00
94.	40	Planning Department	2575-06-105-04	Lump-sum provision for Skill development Mission	2.00	0.00	2.00
95.			4801-05-800-03	Rapid Financial Development	10.00	0.00	10.00
96.	41	Election Department	2015-105-04	Bye Election	5.76	0.00	5.76
97.	42	Judicial Department	2014-105-15	Establishment of Courts for quick disposal of cases relating to Women Victimization	22.74	0.00	22.74
98.			2235-60-200-12	Grant to Trustee Committee of Uttar Pradesh Advocate Welfare Fund	20.00	0.00	20.00
99.			2235-60-200-13	Corpus Fund for Young Advocates	5.00	0.00	5.00
100.			2235-60-200-17	Books and Magazines for young advocates	10.00	0.00	10.00
101.			4059-01-051-06	Independent Electric feeder for Lower Courts	2.00	0.00	2.00
102.			4059-01-051-10	Construction of office building of Uttar Pradesh State Legal Services Authority	12.00	0.00	12.00
103.			4059-01-051-11	Establishment of ADR Centre in districts	15.00	0.00	15.00

Sl. No.	Grant No.	Name of Department	Major Head	Name of scheme	Original Provision	Expenditure	Saving
104.			4059-01-051-12	Construction of Public Toilets in Lower Courts of the State	20.00	0.00	20.00
105.			4059-01-051-13	District and Session Courts	3.50	0.00	3.50
106.			4059-01-051-14	Penal Court	1.61	0.00	1.61
107.			4059-01-052-03	CCTV Camera and Other Security equipments for Security of Lower Courts	75.00	0.00	75.00
108.			4070-800-04	Acquirement of land for new premises of District Court, Varanasi	100.00	0.00	100.00
109.			4216-01-700-10	Construction of Residential Buildings for employees of Hon'ble High Court	100.00	0.00	100.00
110.	43	Transport Department	4059-01-051-19	Purchase of land and building construction in Regional Transport Office, Kanpur	2.00	0.00	2.00
111.			5055-800-03	Transport Commissioner Office	1.40	0.00	1.40
112.			5055-800-97	External aided schemes	2.50	0.00	2.50
113.	44	Tourism Department	3452-80-800-10	Uttar Pradesh Travel Mart-2014	1.50	0.00	1.50
114.			3452-80-800-14	Incentive to tourism units under Tourism Policy-2018	50.00	0.00	50.00
115.			5452-80-104-03	Acquisition of land for Tourist Accommodation Houses	1.00	0.00	1.00
116.	47	Technical Education Department	2071-01-117-08	Interest on due residual employer contribution upto 31.03.2019/late deposited employer contribution of aided Educational Institutes	1.00	0.00	1.00
117.			2203-103-01	Central Sponsored Schemes	3.37	0.00	3.37
118.			2203-104-01	Central Sponsored Schemes	1.36	0.00	1.36
119.			4202-02-105-13	Engineering College, Kannauj	8.00	0.00	8.00
120.			4202-02-105-19	Upgradation of Lab/Solar Power in engineering Colleges	2.80	0.00	2.80
121.	48	Minorities Welfare Department	2071-01-117-07	Lumpsum payment of residual employer contribution upto 31 March 2019 of employees covered under NPS of aided Arbi Farsi Madarsas	1.00	0.00	1.00
122.			2225-80-800-01	Central Sponsored Schemes	608.27	0.00	608.27
123.			2250-102-05	Non-recurring Grant to U.P. Shiya/Sunni Central Waqf Board	1.01	0.00	1.01
124.	49	Women and Child Welfare Department	2235-02-102-05	Juvenile Justice Fund	7.00	0.00	7.00
125.			2235-02-102-16	State Nutrition Mission	14.00	0.00	14.00
126.			2235-02-102-20	Shabri Resolution Campaign	100.00	0.00	100.00
127.			2235-02-103-03	State Resource Centre for women and child	1.00	0.00	1.00
128.			2235-02-103-24	Establishment of old age women ashrams through Voluntary Organisations	6.50	0.00	6.50
129.			2235-02-103-26	Government Shelter home for destitute women	3.80	0.00	3.80
130.			4235-02-102-03	Construction of warehouses of project office	50.00	0.00	50.00

State Finances Audit Report for the year ended 31 March 2021

Sl. No.	Grant No.	Name of Department	Major Head	Name of scheme	Original Provision	Expenditure	Saving
131.			4235-02-103-04	Establishment of Old Age Ashram	5.00	0.00	5.00
132.	51	Revenue Department (Relief on Account of Natural Calamities)	2245-05-101-04	Transfer of amount received from National Disaster Response Fund to State Disaster Fund	1,000.00	0.00	1,000.00
133.	4250-101-05		Expenditure from State Disaster Mitigation Fund	2.50	0.00	2.50	
134.	4250-101-06		Expenditure from District Disaster Mitigation Fund	2.50	0.00	2.50	
135.	52	Revenue Department (Board of Revenue and Other Expenditure)	2235-60-110-01	Central Sponsored Schemes	112.60	0.00	112.60
136.	2235-60-110-03		For implementation of Chief Minister Kisan Avam Sarvhit Beema Yozna	11.84	0.00	11.84	
137.	4059-60-051-05		Remaining work of Lekhpal Training School and Hostel, Gonda	5.82	0.00	5.82	
138.	4059-60-051-06		Upgradation of Lekhpal Training School, Chinhat	1.00	0.00	1.00	
139.	57	Public Works Department (Communication-Bridges)	5054-04-101-39	Technical studies for construction/ re-construction/ renovation of Bridges/ ROB flyover and consulting for constitution and implementation etc. of project and arrangement for re-construction	2.00	0.00	2.00
140.	58	Public Works Department (Communications-Roads)	3054-80-797-05	Transfer to Depreciation Reserve Fund	18.00	0.00	18.00
141.	5054-03-800-03		Utter Pradesh State Highways Authority	50.00	0.00	50.00	
142.	5054-80-004-04		Strengthening / Upgradation of Research Institute and laboratories of Quality Promotion Cell	1.00	0.00	1.00	
143.	59	Public Works Department (Estate Directorate)	4059-80-051-04	Upgradation /renovation work of air condition plant and power supply equipment in Jawahar Bhawan and Indira Bhawan	2.00	0.00	2.00
144.	4059-80-051-05		Construction of non-residential office building in the premises of Darulshafa	20.08	0.00	20.08	
145.	4059-80-051-08		Establishment of air-condition plant in Indira Bhawan	1.56	0.00	1.56	
146.	4059-80-051-09		Construction of State guest house in Delhi/Noida	2.27	0.00	2.27	
147.	60	Forest Department	4406-01-102-17	Plantation project in left mines in Vindhya and Bundelkhand area and plateau/hill area (CCL System)	1.00	0.00	1.00
148.	4406-01-800-18		Protection and development of Van Devi Bio Diversity Area in District Mau and renovation of Van Devi Park & Construction of guest house in Van Devi (CCL System)	1.00	0.00	1.00	

Sl. No.	Grant No.	Name of Department	Major Head	Name of scheme	Original Provision	Expenditure	Saving
149.			4406-02-110-06	Development of Lion Safari Park and Babbar Sher fertilization Centre in District Etawah	10.00	0.00	10.00
150.			4406-02-110-08	Establishment of Eco Tourism and Bio Diversity centre under Kukrail Forest Area	5.00	0.00	5.00
151.	61	Finance Department (Debt Services and Other Expenditure)	2048-101-03	Transfer of sinking funds to the Debt relief fund of UP State Development Loans	24,281.00	0.00	24,281.00
152.			2049-01-200-03	Interest on short terms loan taken from Reserve Bank of India	5.00	0.00	5.00
153.			4070-800-03	Expenditure on D.P.R. of Projects	5.00	0.00	5.00
154.			6003-110-03	Repayment of Ways and Means Advances	10,000.00	0.00	10,000.00
155.			6075-800-03	Loan Assistance for financial re-organisation of Public Sectors Undertakings/Corporations/Autonomous Bodies	150.00	0.00	150.00
156.	62	Finance Department (Superannuation Allowances and Pensions)	2049-03-117-03	Interest on Deposited Fund under Contributory Pension Scheme	2.37	0.00	2.37
157.			2071-01-101-04	Contribution of Retired Employees of Jal Nigam for State Services	57.50	0.00	57.50
158.			2071-01-115-05	Encashment of Leave of Retired Officers of All India Services	2.45	0.00	2.45
159.			2071-01-117-07	Lumpsum payment of residual employer contribution upto 31.03.2019 of government employees covered under N.P.S.	2,400.00	0.00	2,400.00
160.			2071-01-117-08	Interest on due residual employer contribution upto 31.03.2019/late deposited employer contribution	580.00	0.00	580.00
161.			2071-01-117-09	Payment of interest on late depositing subscribers contribution	20.00	0.00	20.00
162.			2071-01-800-03	Domestic Servant Allowance to Retired Officers of Uttar Pradesh Judicial Services/ Higher Judicial Services	1.91	0.00	1.91
163.			2071-01-800-09	Interest Payable on late payment of Retirement Benefits	1.00	0.00	1.00
164.			2071-01-800-10	Amount payable to Utrakhhand Government due to apportionment of Pension liabilities under Uttar Pradesh State Re-organisation Act, 2000	1,000.00	0.00	1,000.00
165.	63	Finance Department (Treasury and Accounts Administration)	4059-01-051-03	Miscellaneous construction/renovation works in treasuries/sub-treasuries of the state.	1.00	0.00	1.00

Sl. No.	Grant No.	Name of Department	Major Head	Name of scheme	Original Provision	Expenditure	Saving
166.	65	Finance Department (Audit, Small Savings Etc.)	2052-091-07	Implementation of Protection of Interest of Depositors Act-2016	1.48	0.00	1.48
167.			4059-01-051-04	Construction of office building of Institutional Finance Directorate in District Lucknow	5.00	0.00	5.00
168.	70	Science and Technology Department	2810-60-800-06	State share for solarisation of private pumps connected with grid relating to P.M. Kusum Yojna Part "C"	67.32	0.00	67.32
169.			2810-60-800-09	Establishment of R.O. Water plant based on solar energy for arrangement of drinking water in Arsenic affected areas	2.74	0.00	2.74
170.			2810-60-800-11	Establishment of Solar R.O. Water plant in primary schools under project mode scheme	5.00	0.00	5.00
171.			5425-800-04	Modernisation of constellations	10.00	0.00	10.00
172.	71	Education Department (Primary Education)	2071-01-117-07	Lumpsum Payment of employer contribution balance upto 31.3.2019 for employees covered under NPS.	500.00	0.00	500.00
173.			2071-01-117-08	Interest on due employer contribution balance upto 31.3.2019/late deposited employer contribution	250.00	0.00	250.00
174.			2071-01-117-09	Payment of interest on late depositing subscriber contribution	150.00	0.00	150.00
175.			2202-01-112-04	Distribution of Fruits to Boys and Girls students	166.71	0.00	166.71
176.			4202-01-201-03	Construction of office buildings of Basic Education Officers in the districts (District Plan)	1.55	0.00	1.55
177.			4202-01-201-04	Grants for construction of boundary walls, toilets, electrification and installation of hand pump in elementary and higher elementary schools	50.00	0.00	50.00
178.			4202-01-201-09	Construction of Kitchen for Mid Day Meal	25.00	0.00	25.00
179.			72	Education Department (Secondary Education)	2202-02-800-10	Subsidiary grant to Raja Ram Mohan Roy Library Institute, Kolkata	2.00
180.	2202-02-800-14	Uttar Pradesh Education Services Tribunal			8.92	0.00	8.92
181.	2204-104-04	Arrangement for Outdoor Games and other Educational Programmes and Youth Welfare			1.00	0.00	1.00
182.	4202-04-105-03	Construction of buildings of Government District Libraries			2.75	0.00	2.75
183.	73	Education Department (Higher Education)	2202-03-102-33	Grant for organization of Inter University Sports Competition	2.00	0.00	2.00

Sl. No.	Grant No.	Name of Department	Major Head	Name of scheme	Original Provision	Expenditure	Saving
184.			2202-03-800-17	Ahilyabai Kanya free of Cost Education Scheme	21.12	0.00	21.12
185.			4202-01-203-20	Law University, Prayagraj	20.00	0.00	20.00
186.	74	Home Department (Home Guards)	2235-60-200-03	Payment of Premium to Insurance Company for insurance of homeguards volunteers on duty	8.00	0.00	8.00
187.	75	Education Department (State Council of Educational Research and Training)	2202-80-800-06	For conducting TET selection, BTC selection and BTC examination for Examination Controller Office Allahabad	20.08	0.00	20.08
188.	76	Labour Department (Labour Welfare)	2230-01-111-03	Deen Dayal Security Insurance Scheme for unorganised labour	1.25	0.00	1.25
189.			2230-01-111-06	Atal Pension Scheme for unorganised labour	12.52	0.00	12.52
190.	78	Secretariat Administration Department	2013-101-04	Amount of Income Tax due to Government of India to be borne by State Government	1.00	0.00	1.00
191.	79	Social Welfare Department (Empowerment of the Handicapped and Welfare of Backward Classes)	2235-02-101-16	Operation of Consolidated Special Secondary Schools	7.85	0.00	7.85
192.			2235-02-101-21	Nurturer Scheme	25.00	0.00	25.00
193.			2235-02-101-24	Operation of Artificial Organ and rehabilitation Centre	4.00	0.00	4.00
194.			2235-02-101-33	Government School "MAMTA" for mentally challenged girls	1.01	0.00	1.01
195.			2235-02-101-38	Establishment/Operation of District Disabled Rehabilitation Centre (DDRC)	4.00	0.00	4.00
196.			4235-02-101-04	Making hurdle free to government offices and public utility buildings by way of identifying under the scheme "Sugamya Bharat Abhiyan"	60.00	0.00	60.00
197.			4235-02-101-33	Establishment of "Sanket Junior High school" for Deaf-Dumb boy/girl students	4.00	0.00	4.00
198.	80	Social Welfare Department (Social Welfare and Welfare of Scheduled Castes)	2225-01-277-01	Central Sponsored Schemes	250.00	0.00	250.00
199.	81	Social Welfare Department (Tribal Welfare)	4225-02-796-04	Construction of incomplete buildings of Govt. Asharam System School for Scheduled Tribes	10.00	0.00	10.00
200.			4515-796-01	Central Sponsored Schemes	3.05	0.00	3.05
201.	83	Social Welfare Department (Special Component Plan for Scheduled Castes)	2235-60-789-01	Central Sponsored Schemes	275.31	0.00	275.31
202.			2401-789-08	Payment of crop loan to small and marginal farmers	15.00	0.00	15.00
203.			2810-02-789-03	Implementation of Additional Energy Source Programmes through Non Conventional Energy Development Agency	1.42	0.00	1.42

Sl. No.	Grant No.	Name of Department	Major Head	Name of scheme	Original Provision	Expenditure	Saving
204.			4202-02-789-09	Engineering Collage, Kannauj	1.50	0.00	1.50
205.			4210-03-789-07	Government Allopathy Medical College, Saharanpur	3.03	0.00	3.03
206.			4210-03-789-08	Government Allopathic Medical College, Ambedker Nagar	3.03	0.00	3.03
207.			4210-03-789-12	Government Medical College, Meerut	4.75	0.00	4.75
208.			4210-03-789-13	Government Medical College, Jhansi	4.67	0.00	4.67
209.			4210-03-789-15	Government Medical College, Jaunpur	12.73	0.00	12.73
210.			4210-03-789-17	Government Medical College, Azamgarh	8.48	0.00	8.48
211.			4210-03-789-30	Paramedical Institute, Saifai, Etawah	1.00	0.00	1.00
212.			4210-03-789-32	Government Medical College, Chandauli	1.06	0.00	1.06
213.			4215-01-789-03	Establishment of Hand pumps in Scheduled Caste populated areas	50.00	0.00	50.00
214.			4215-01-789-06	Chief Minister R.O. Drinking Water Scheme	5.25	0.00	5.25
215.			4215-01-789-07	Pipe Drinking Water Project in Bundelkhand/Vindhya/quality affected villages	740.00	0.00	740.00
216.			4700-17-789-01	Central Sponsored Schemes	150.00	0.00	150.00
217.			4700-19-789-10	Canals	12.00	0.00	12.00
218.			4700-22-789-10	Canals	300.00	0.00	300.00
219.			4700-23-789-10	Canals	15.00	0.00	15.00
220.	84	General Administration Department	2070-800-03	Census-2021	45.73	0.00	45.73
221.			4250-800-06	Establishment of Ved Science Centre in Kashi	18.06	0.00	18.06
222.	86	Information Department	2220-60-800-07	Establishment of Film Development Fund	15.00	0.00	15.00
223.	89	Institutional Finance Department (Commercial Tax)	2049-60-701-03	Interest Payment on Deposit Account of Entry Tax	65.35	0.00	65.35
224.	91	Institutional Finance Department (Stamps and Registration)	2030-03-001-05	Scanning and indexing of old document	40.00	0.00	40.00
225.			2030-03-001-06	Setting of lease line of 10 M.B.P.S. on rental basis in the Dy. Registrar Offices	10.00	0.00	10.00
226.	92	Cultural Department	2205-800-15	Grant to folk artists for musical instruments	1.00	0.00	1.00
227.			2205-800-16	Establishment of Cultural Club	1.00	0.00	1.00
228.			4202-04-106-11	Natural Science Museum, Lucknow	5.00	0.00	5.00
229.			4202-04-800-01	Central Sponsored Schemes	7.07	0.00	7.07
230.			4202-04-800-06	Arrangement of land and construction of new premises of Bhatkhande Music Institute cum University	1.00	0.00	1.00
231.			4202-04-800-16	Establishment of International Ram Lila Complex in Ayodhya, Faizabad	5.00	0.00	5.00

Sl. No.	Grant No.	Name of Department	Major Head	Name of scheme	Original Provision	Expenditure	Saving
232.			4202-04-800-45	Memory complex for organizing cultural programmes in memory of Hon'ble Atal Bihari Bajpai	5.00	0.00	5.00
233.			4202-04-800-52	Strengthening of Tulsi Smarak Bhawan, Ayodhya	10.00	0.00	10.00
234.	94	Irrigation Department (Works)	2700-80-800-01	Central Sponsored Schemes	1.00	0.00	1.00
235.			4700-05-050-10	Canals	2.00	0.00	2.00
236.			4700-06-050-10	Canals	1.00	0.00	1.00
237.			4700-06-051-15	Project of extension, renovation and beautification of quaysides of river situated at Vrindavan District Mathura	1.00	0.00	1.00
238.			4700-07-051-17	Project of construction of Rubber Barrage in 1.50 km. downstream of Taj Mahal for safety of foundation of International Tourism Place Taj Mahal, Rowing, beautification & water level in Agra city sightseeing, creating atmosphere, water storage, improvement of ground water level in Agra city.	100.00	0.00	100.00
239.			4700-08-050-10	Canals	1.00	0.00	1.00
240.			4700-09-050-10	Canals	2.00	0.00	2.00
241.			4700-09-051-15	Channelization of River Gomti in Lucknow	15.00	0.00	15.00
242.			4700-18-051-10	Canals	10.00	0.00	10.00
243.			4700-23-051-10	Canals	30.00	0.00	30.00
244.			4700-36-050-10	Canals	10.00	0.00	10.00
245.			4701-21-051-10	Canals	1.00	0.00	1.00
246.			4701-24-051-10	Canal	8.20	0.00	8.20
247.			4701-60-051-05	Dam	1.00	0.00	1.00
248.	4701-87-051-10	Canals	2.40	0.00	2.40		
249.	4701-94-051-07	Barrage	5.00	0.00	5.00		
250.	4701-96-051-10	Canals	6.00	0.00	6.00		
251.	4711-03-103-07	Drainage Scheme (Financed by NABARD)	3.00	0.00	3.00		
	57		Total		50,616.51	0.00	50,616.51

Appendix-3.8

(Referred to in paragraph 3.3.7)

Details of Schemes where Original provisions were re-appropriated to other schemes

(₹ in crore)

Sl. No.	Grant No.	Name of Department	Major Head	Name of Scheme	Provision	Re-appropriation	Total	Expenditure	Saving
1.	7	Industries Department (Heavy and Medium Industries)	5054-03-337-10	Balia link express -way project	200.00	(-)109.69	90.31	0.00	90.31
2.	40	Planning Department	4202-01-202-03	Rapid Financial Development Scheme	20.00	(-)20.00	0.00	0.00	0.00
3.			4202-01-203-03	Rapid Financial Development Scheme	20.00	(-)20.00	0.00	0.00	0.00
4.			4210-01-800-03	Rapid Financial Development Scheme	20.00	(-)10.00	10.00	0.00	10.00
5.			4210-02-800-03	Rapid Financial Development Scheme	20.00	(-)10.00	10.00	0.00	10.00
6.			4215-01-101-03	Rapid Financial Development Scheme	20.00	(-)20.00	0.00	0.00	0.00
7.			4215-01-102-03	Rapid Financial Development Scheme	80.00	(-)70.00	10.00	0.00	10.00
8.			4215-02-101-03	Rapid Financial Development Scheme	20.00	(-)10.54	9.46	0.00	9.46
9.			4215-02-106-03	Rapid Financial Development Scheme	20.00	(-)20.00	0.00	0.00	0.00
10.			4406-01-102-03	Rapid Financial Development Scheme	15.00	(-)15.00	0.00	0.00	0.00
11.			4702-800-03	Rapid Financial Development Scheme	20.00	(-)20.00	0.00	0.00	0.00
12.			4801-06-800-03	Accelerated Economic Development Scheme	20.00	(-)10.00	10.00	0.00	10.00
13.			5054-04-101-03	Rapid Financial Development	40.00	(-)35.27	4.73	0.00	4.73
14.			47	Technical Education Department	2071-01-117-07	Lumpsum payment of residual employers contribution up to 31 March 2019 of employees covered under NPS of aided	2.50	(-)2.50	0.00

Sl. No.	Grant No.	Name of Department	Major Head	Name of Scheme	Provision	Re-appropriation	Total	Expenditure	Saving
				Technical Educational Institute					
15.	48	Minorities Welfare Department	4202-01-800-01	Central Sponsored Schemes	6.81	(-)6.81	0.00	0.00	0.00
16.			4235-60-800-03	Construction of Boundaries of Graveyards of Minorities/Cremation Places	100.00	(-)100.00	0.00	0.00	0.00
17.			4250-800-03	Construction of Haj Houses, Lucknow and Ghaziabad	2.34	(-)2.34	0.00	0.00	0.00
18.	51	Revenue Department (Relief on account of Natural Calamities)	2245-05-800-05	Expenditure from National Disaster Response Fund	1000.00	(-)800.00	200.00	0.00	200.00
19.	61	Finance Department (Debt Services and Other Expenditure)	2217-80-800-03	Transfer of 2% additional stamp duty to Urban Bodies collected by State Govt.	175.00	(-)175.00	0.00	0.00	0.00
20.	74	Home Department (Home Guards)	2070-107-07	Panchayat election	100.00	(-)50.00	50.00	0.00	50.00
21.	80	Social Welfare Department (Social Welfare and Welfare of Scheduled Castes)	2235-02-200-11	Pre-exam training for preliminary examination of IAS/PCS in the institutions situated in Delhi	1.00	(-)1.00	0.00	0.00	0.00
22.	83	Social Welfare Department (Special Component Plan for Scheduled Castes)	4406-01-789-04	National Afforestation Program (CCL System)	15.00	(-)7.84	7.16	0.00	7.16
Total					1,917.65	(-)1,515.99	401.66	0.00	401.66

Appendix 4.1

(Referred to in paragraph 4.14)

Details of pendency in finalisation of Accounts by various Autonomous Bodies and Authorities

Sl. No.	Name of Department	Name of the Body/Authority	Year(s) for which accounts had not been received	Total No. of pending annual accounts
1.	Forest Department	Compensatory Afforestation Fund Management and Planning Authority (CAMPA)	2014-15 to 2019-20	06
2.	Infrastructure and Industrial Development Department	New Okhla Industrial Development Authority	2018-19 to 2020-21	03
3.	-do-	Greater Noida Development Authority	2019-20 to 2020-21	02
4.	-do-	Yamuna Expressway Industrial Development Authority	2005-06 to 2020-21	16
5.	-do-	UP Expressway Industrial Development Authority	2017-18 to 2020-21	04
6.	-do-	Satharia Industrial Development Authority	2005-06 to 2020-21	16
7.	-do-	Gorakhpur Industrial Development Authority	2005-06 to 2020-21	16
8.	-do-	UP State Industrial Development Authority	2018-19 to 2020-21	03
9.	-do-	Lucknow Industrial Development Authority	2005-06 to 2020-21	16
10.	Housing and Urban Planning Department	Lucknow Development Authority	2017-18 to 2020-21	04
11.	-do-	Ghaziabad Development Authority	2017-18 to 2020-21	04
12.	-do-	Agra Development Authority	2017-18 to 2020-21	04
13.	-do-	Meerut Development Authority	2017-18 to 2020-21	04
14.	-do-	Prayagraj Development Authority	2017-18 to 2020-21	04
15.	-do-	Hapur/Pilkhuan Development Authority	2017-18 to 2020-21	04
16.	-do-	Varanasi Development Authority	2017-18 to 2020-21	04
17.	-do-	Moradabad Development Authority	2017-18 to 2020-21	04
18.	-do-	Gorakhpur Development Authority	2017-18 to 2020-21	04
19.	-do-	Mathura Vrindavan Development Authority	2017-18 to 2020-21	04
20.	-do-	Aligarh Development Authority	2017-18 to 2020-21	04
21.	-do-	Bareilly Development Authority	2017-18 to 2020-21	04
22.	-do-	Raibareilly Development Authority	2017-18 to 2020-21	04
23.	-do-	Saharanpur Development Authority	2017-18 to 2020-21	04
24.	-do-	Ayodhya/ Faizabad Development Authority	2017-18 to 2020-21	04
25.	-do-	Firozabad/Shikohabad Development Authority	2017-18 to 2020-21	04
26.	-do-	Kanpur Development Authority	2017-18 to 2020-21	04
27.	-do-	Rampur Development Authority	2017-18 to 2020-21	04
28.	-do-	Unnao Shuklaganj Development Authority	2017-18 to 2020-21	04
29.	-do-	Jhansi Development Authority	2017-18 to 2020-21	04
30.	-do-	Muzzaffarnagar Development Authority	2017-18 to 2020-21	04
31.	-do-	Bulandshahar Development Authority	2017-18 to 2020-21	04
32.	-do-	Khurja Development Authority	2017-18 to 2020-21	04
33.	-do-	Urai Development Authority	2017-18 to 2020-21	04
34.	-do-	Banda Development Authority	2017-18 to 2020-21	04
35.	-do-	Baghpat Badaut Khekda Development Authority	2017-18 to 2020-21	04

Sl. No.	Name of Department	Name of the Body/Authority	Year(s) for which accounts had not been received	Total No. of pending annual accounts
36.	Housing and Urban Planning Department	Azamgarh Development Authority	2017-18 to 2020-21	04
37.	-do-	Basti Development Authority	2017-18 to 2020-21	04
38.	-do-	Special Area Development Authority, Shakti Nagar	2017-18 to 2020-21	04
39.	-do-	Special Area Development Authority, Chitrakoot	2017-18 to 2020-21	04
40.	-do-	Special Area Development Authority, Kapilvastu	2017-18 to 2020-21	04
41.	-do-	Special Area Development Authority, Vindhyachal-Mirzapur	2017-18 to 2020-21	04
42.	-do-	Special Area Development Authority, Kushinagar	2017-18 to 2020-21	04
43.	-do-	UP Real Estate Regulatory Authority	2019-20 to 2020-21	02
44.	Energy Department	Uttar Pradesh Electricity Regulatory Commission	2020-21	01
45.	Child Welfare Department	U.P. State Commission for Protection of Child Rights	2006-07 to 2020-21	15
46.	Higher Education	Dr. Bhim Rao Ambedkar University, Agra	2019-20 to 2020-21	02
47.	-do-	Deendayal Upadhyay University	2019-20 to 2020-21	02
48.	-do-	Dr. Ram Manohar Lohia National Law University Lucknow	2020-21	01
49.	-do-	Lucknow University	2019-20 to 2020-21	02
50.	-do-	Choudhary Charan Singh University	2019-20 to 2020-21	02
51.	-do-	U P Rajarshi Tandon Open University	2019-20 to 2020-21	02
52.	-do-	Prof Rajendra Singh (Rajju Bhaiya) University	2019-20 to 2020-21	02
53.	-do-	Siddharth University, Kapilvastu, Siddharth Nagar	2019-20 to 2020-21	02
54.	-do-	Mahatma Gandhi Kashi Vidhyapith	2019-20 to 2020-21	02
55.	-do-	Sampurnanand Sanskrit Viswavidyalaya	2019-20 to 2020-21	02
56.	-do-	Jannayak Chandrashekhar University	2019-20 to 2020-21	02
57.	-do-	Khwaja Moinuddin Chisti Urdu, Arbi, Farsei University	2020-21	01
58.	-do-	Bundelkhand University	2019-20 to 2020-21	02
59.	Infrastructure and Industrial Development Department	Gautam Budh University	2019-20 to 2020-21	02
60.	Technical Education	Madan Mohan Malviya University of Agriculture and Technology	2019-20 to 2020-21	02
61.	-do-	Harcourt Butler Technological University	2019-20 to 2020-21	02
62.	-do-	Dr. A.P.J. Abdul Kalam Technical University	2019-20 to 2020-21	02
63.	Handicapped Welfare	Dr. Shakuntala Mishra National Rehabilitation University	2019-20 to 2020-21	02
Total				266

Appendix 4.2

(Referred to in paragraph 4.15)

Status of finalisation of accounts of Departmental Commercial Undertakings

(₹ in crore)

Sl. No.	Name of the undertaking	Accounts finalised up to	Investment as per the last accounts finalised
Irrigation Department			
1.	Irrigation Workshop Division, Kanpur	2020-21	2.04
2.	Irrigation Workshop Division, Jhansi	2020-21	12.50
3.	Irrigation Workshop Division, Bareilly	2020-21	13.44
4.	Irrigation Workshop Division, Meerut	2020-21	2.12
5.	Irrigation Workshop Division, Gorakhpur	2020-21	0.64
6.	Irrigation Workshop Division, Prayagraj	2020-21	4.08
Food and Civil Supplies Department			
7.	Food Commissioner and CAO	2014-15	4,002.95
Animal Husbandry Department			
8.	Dy. Director, Animal Husbandry Farms Corporation	2018-19	74.03
Health Department			
9.	Dy. Director, State Ayurvedic & Unani Medicine Department	1987-88	Not available
Total			4,111.80

Appendix-5.1

(Referred to in Paragraphs 5.1.3, 5.1.4, 5.3.1, 5.5.1, 5.6.1 and 5.6.2)

Summarised financial results of State Public Sector Undertakings for the latest year for which accounts were finalised

(₹ in crore)

Sl. No.	Name of PSU	Period of Account	Net Profit/Loss before interest and Tax	Net Profit/Loss after interest and Tax	Turnover	Paid up Capital including share application money	Capital Employed ²	Net Worth/ Shareholders' Fund	Accumulated profit/ loss	Total Assets
A	Government companies									
X	Power Sector PSUs									
1	Uttar Pradesh Rajya Vidyut Utpadan Nigam Limited	2019-20	1,019.62	116.91	8,758.02	15,200.69	28,590.68	15,340.14	1,290.58	38,068.27
2	Uttar Pradesh Jal Vidyut Nigam Limited	2019-20	3.72	(-)18.81	84.67	435.33	164.83	12.75	(-)422.58	877.70
3	Jawaharpur Vidyut Utpadan Nigam Limited (Subsidiary of Sl. No.1)	2019-20	1.82	1.82	0.00	1,151.04	3,953.94	1,155.25	4.21	4,781.35
4	Uttar Pradesh Power Transmission Corporation Limited	2019-20	578.55	351.89	3,498.09	15,576.01	26,363.41	14,770.06	(-)805.77	35,970.78
5	Purvanchal Vidyut Vitaran Nigam Limited (Subsidiary of Sl. No. 10)	2019-20	38.15	(-)1,204.30	11,641.41	19,557.50	12,647.97	(-)1,044.86	(-)20,602.36	49,800.75
6	Paschimanchal Vidyut Vitaran Nigam Limited (Subsidiary of Sl. No. 10)	2019-20	(-)223.57	(-)1,067.86	17,039.25	15,227.24	8,201.42	(-)2,067.34	(-)17,294.58	28,948.43
7	Madhyanchal Vidyut Vitaran Nigam Limited (Subsidiary of Sl. No. 10)	2019-20	(-)659.99	(-)659.99	11,905.54	18,295.63	14,125.72	2,777.91	(-)15,517.72	41,104.65
8	Dakshinanchal Vidyut Vitaran Nigam Limited (Subsidiary of Sl. No. 10)	2019-20	933.88	(-)629.03	10,831.65	19,225.63	6,615.96	(-)8,713.04	(-)27,938.67	36,343.46

² Capital Employed = Paid-up capital + Free reserve + Long term loan – Deferred Revenue Expenditure (DRE)

State Finances Audit Report for the year ended 31 March 2021

Sl. No.	Name of PSU	Period of Account	Net Profit/Loss before interest and Tax	Net Profit/Loss after interest and Tax	Turnover	Paid up Capital including share application money	Capital Employed ²	Net Worth/ Shareholders' Fund	Accumulated profit/ loss	Total Assets
9	Kanpur Electricity Supply Company Limited (Subsidiary of Sl. No. 10)	2019-20	(-)72.52	(-)231.05	2,619.39	1,974.21	(-)7.70	(-) 1,825.45	(-) 3,799.66	4,614.79
10	Uttar Pradesh Power Corporation Limited	2019-20	(-)3,158.92	(-)3,158.92	0.00	97,164.01	(-)70,433.52	(-)70,433.52	(-)90,914.37	88,937.30
11	UCM Coal Company Limited (Joint Venture of Sl. No. 1)	2019-20	0.24	0.24	0.00	0.16	0.25	(-)2.25	(-)2.41	54.42
	Sub Total - A(X)		(-)1,539.02	(-)6,499.10	66,378.02	2,03,807.45³	30,222.96	(-)50,030.35⁴	(-)1,76,003.33	3,29,501.90
Y	Other than Power Sector PSUs									
12	Uttar Pradesh Metro Rail Corporation Limited	2020-21	(-)321.14	(-)329.85	25.65	3,160.74	8,073.06	2,436.01	(-)724.73	9,113.78
13	NOIDA Metro Rail Corporation Limited	2020-21	18.21	(-)78.32	16.15	1,400.24	3,030.83	1,166.65	(-)233.59	4,599.56
14	The Pradeshiya Industrial and Investment Corporation of UP Limited	2018-19	18.80	15.00	9.25	135.58	697.22	(-)243.91	(-)379.49	1,004.74
15	Shreetron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	2019-20	2.49	1.73	62.35	7.12	15.00	12.94	5.82	55.70
16	Uptron Powertronics Limited (subsidiary of Uttar Pradesh Electronics Corporation)	2018-19	0.50	0.39	27.52	4.07	5.78	2.91	(-)1.16	45.17
17	Uttar Pradesh Electronics Corporations Limited	2018-19	5.91	4.25	67.85	91.54	143.26	35.91	11.62	419.68

³ Paid up capital of ₹ 2,03,807.45 crore includes an amount of ₹ 77,834.29 crore in holding Company at Sl. No. 1 (₹ 1,151.13 crore) and at Sl. No. 10 (₹ 76,683.16 crore) which was given by the Government for their subsidiary/associates/joint venture companies. Therefore, the amount of ₹ 77,834.29 crore has been excluded for calculation of net worth and capital employed.

⁴ Net worth is the sum total of the paid-up capital and free reserves and surplus minus accumulated losses and DRE. DRE of ₹ 0.18 crore was deducted in case of Uttar Pradesh Power Transmission Corporation limited to arrive at the net worth figure.

Sl. No.	Name of PSU	Period of Account	Net Profit/Loss before interest and Tax	Net Profit/Loss after interest and Tax	Turnover	Paid up Capital including share application money	Capital Employed ²	Net Worth/ Shareholders' Fund	Accumulated profit/ loss	Total Assets
18	Uttar Pradesh Development Systems Corporation Limited	2018-19	18.94	12.16	224.84	1.00	33.01	33.01	32.01	183.52
19	Uttar Pradesh Purva Sainik Kalyan Nigam Limited	2019-20	62.97	62.97	484.35	0.43	383.38	383.38	382.95	476.98
20	Uttar Pradesh Police Avias Nigam Limited	2018-19	(-)11.30	(-)11.30	50.98	3.00	21.80	21.80	18.80	111.54
21	Uttar Pradesh Export Promotion Council	2020-21	0.68	0.68	0.67	0.05	6.75	1.75	1.70	7.60
22	Uttar Pradesh Medical Supply Corporation Limited	2019-20	28.60	20.65	20.30	10.16	28.38	28.38	18.22	419.53
23	U.P. Projects Corporation Limited	2018-19	3.13	0.36	457.50	6.40	126.20	126.20	119.80	4,023.86
24	Uttar Pradesh State Spinning Company Limited	2018-19	(-)1.37	(-)5.65	0.00	93.24	(-)79.65	(-)181.06	(-)274.30	43.19
	Sub Total -A(Y)		(-)173.58	(-)306.93	1,447.41	4,913.57	12,485.02	3,823.97	(-)1,022.35	20,504.85
	Total of A=A(X)+A(Y)		(-)1,712.60	(-)6,806.03	67,825.43	2,08,721.02	42,707.98	(-)46,206.38	(-)1,77,025.68	3,50,006.75
B	Government controlled other Companies									
X	Power Sector PSUs - Nil									
	Sub Total -B(X)		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Y	Other than Power Sector PSUs									
25	Lucknow Smart City Limited	2018-19	(-)3.60	(-)3.60	0.00	0.50	(-)5.79	(-)5.79	(-)6.29	439.60
26	Agra Smart City Limited	2019-20	0.01	0.01	0.00	216.00	216.02	216.02	0.02	332.79
27	Aligarh Smart City Limited	2019-20	(-)11.35	(-)11.35	0.03	2.00	(-)11.66	(-)11.66	(-)13.66	208.12
28	Bareilly Smart City Limited	2018-19	0.23	0.17	0.50	0.25	0.42	0.42	0.17	60.71
29	Prayagraj Smart City Limited	2019-20	(-)0.24	(-)0.30	0.00	0.50	204.86	204.86	204.36	214.32
30	Varanasi Smart City Limited	2019-20	0.05	0.04	0.00	50.00	50.05	50.05	0.05	102.79
31	Moradabad Smart City Limited	2018-19	0.03	0.02	0.00	0.50	0.52	0.52	0.02	60.22
32	Saharanpur Smart City Limited	2019-20	(-)0.72	(-)0.72	0.05	0.50	83.45	83.45	82.95	84.21
33	Almora Magnesite Limited	2019-20	(-)0.24	(-)0.29	24.15	2.00	7.60	7.30	5.51	23.23
34	Noida International Airport Limited (NIAL)	2019-20	2.52	1.61	0.00	0.01	3,604.38	(-)2.74	(-)2.75	3,610.24

Sl. No.	Name of PSU	Period of Account	Net Profit/Loss before interest and Tax	Net Profit/Loss after interest and Tax	Turnover	Paid up Capital including share application money	Capital Employed ²	Net Worth/ Shareholders' Fund	Accumulated profit/ loss	Total Assets
35	DMIC Integrated Industrial Township Greater Noida Limited	2020-21	24.74	17.42	0.00	1,234.40	1,279.89	1,279.89	45.49	1,595.20
	Sub Total -B(Y)		11.43	3.01	24.73	1,506.66	5,429.74	1,822.32	315.87	6,731.43
	Total of B=B(X)+B(Y)		11.43	3.01	24.73	1,506.66	5,429.74	1,822.32	315.87	6,731.43
C	Statutory Corporations									
X	Power Sector PSUs - Nil									
	Sub Total -C(X)		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Y	Other than Power Sector PSUs									
36	Uttar Pradesh State Road Transport Corporation	2018-19	39.85	39.85	4,523.19	926.19	(-)74.59	(-)116.31	(-)1,042.50	3,024.95
37	Uttar Pradesh Forest Corporation	2019-20	22.30	22.30	216.22	0.00	1,561.62	1,561.62	1,561.62	1,813.35
38	Uttar Pradesh Avas Evam Vikas Parishad	2019-20	29.25	29.25	452.81	0.00	5,058.35	5,058.35	5,058.35	19,642.18
	Sub Total -C(Y)		91.40	91.40	5,192.22	926.19	6,545.38	6,503.66	5,577.47	24,480.48
	Total of C=C(X)+C(Y)		91.40	91.40	5,192.22	926.19	6,545.38	6,503.66	5,577.47	24,480.48
	Total of Power Sector= A(X)+B(X)+C(X)		(-)1,539.02	(-)6,499.10	66,378.02	2,03,807.45	30,222.96	(-)50,030.35	(-)1,76,003.33	3,29,501.90
	Total of Other than Power Sector = A(Y)+B(Y)+C(Y)		(-)70.75	(-)212.52	6,664.36	7,346.42⁵	24,460.14	12,149.95⁶	4,870.99	51,716.76
	Grand Total of (A+B+C)		(-)1,609.77	(-)6,711.62	73,042.38	2,11,153.87	54,683.10	(-)37,880.40	(-)1,71,132.34	3,81,218.66

⁵ Paid up capital of ₹ 7,346.42 crore includes an amount of ₹ 67.25 crore in holding Company at Sl. No. 17 which was given by the Government for their subsidiary companies. Therefore, the amount of ₹ 67.25 crore has been excluded for calculation of net worth and capital employed. Further at Sl. No. 17 the loan amount ₹ 107.35 crore excluded loan amount ₹ 2.26 crore and ₹ 2.87 crore given to companies at Sl No. 15 and 16 respectively.

⁶ Net worth is the sum total of the paid-up capital and free reserves and surplus minus accumulated losses and DRE. DRE of ₹ 0.21 crore was deducted in case of Almora Magnesite Limited to arrive at the net worth figure.

Appendix-5.2

(Referred to in paragraph 5.1.3)

Statement showing position of equity and outstanding loans relating to PSUs not covered in the Chapter as on 31 March 2021

(₹ in crore)

Sl. No.	Name of the PSUs	Name of Department	Period of latest finalised accounts	Year in which finalised	Equity at close of the year 2020-21				Long Term Loans outstanding at close of the year 2020-21				Total Investment (Equity and Long Term Loans)
					State Govt.	GoI	Others	Total	State Govt. Loan	Central Govt. Loan	Holding Company/ Other Financial Institution Loan	Total	
1	2	3	4	5	6 (a)	6 (b)	6 (c)	6 (d)	7 (a)	7 (b)	7 (c)	7 (d)	8
I	Functional PSUs												
A	Government Companies												
X	Power Sector PSUs												
1	UPSIDC Power Company Limited	Energy	2013-14	2016-17	0.00	0.00	0.05	0.05	0.00	0.00	0.00	0.00	0.05
	Sub Total -IA(X)				0.00	0.00	0.05	0.05	0.00	0.00	0.00	0.00	0.05
Y	Other than Power Sector PSUs												
2	Uttar Pradesh Alpsankhyak Vitya Evam Vikas Nigam Limited	Alpsankhyak Kalyan & Waqf	2005-06	2017-18	30.00	0.00	0.00	30.00	0.00	0.00	0.00	0.00	30.00
3	Uttar Pradesh Pichhara Varg Vitta Evam Vikas Nigam Limited	Pichhara Varg Kalyan	2015-16	2019-20	12.23	0.00	0.00	12.23	57.43	0.00	0.00	57.43	69.66
4	Uttar Pradesh Scheduled Castes Finance and Development Corporation Limited	Samaj Kalyan	2015-16	2018-19	136.99	120.39	0.00	257.38	0.00	0.00	20.32	20.32	277.70
5	Uttar Pradesh Food and Essential Commodities Corporation Limited	Food & Civil Supply	2010-11	2018-19	12.34	0.00	0.00	12.34	0.73	0.00	0.00	0.73	13.07
6	Uttar Pradesh Bhumi Sudhar Nigam	Agriculture	2017-18	2020-21	1.50	0.00	0.00	1.50	0.00	0.00	0.00	0.00	1.50

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Sl. No.	Name of the PSUs	Name of Department	Period of latest finalised accounts	Year in which finalised	Equity at close of the year 2020-21				Long Term Loans outstanding at close of the year 2020-21				Total Investment (Equity and Long Term Loans)
					State Govt.	GoI	Others	Total	State Govt. Loan	Central Govt. Loan	Holding Company/ Other Financial Institution Loan	Total	
7	Uttar Pradesh Mahila Kalyan Nigam Limited	Mahila Kalyan	2015-16	2020-21	4.71	0.48	0.00	5.19	0.00	0.00	0.00	0.00	5.19
8	Uttar Pradesh Waqf Vikas Nigam Limited	Waqf & Alpsankhyak	2003-04	2016-17	10.00	0.00	0.00	10.00	0.00	0.00	0.00	0.00	10.00
9	Uttar Pradesh State Agro Industrial Corporation Limited	Agriculture	2010-11	2016-17	58.32	0.00	0.00	58.32	0.00	0.00	23.00	23.00	81.32
10	Uttar Pradesh Rajkiya Nirman Nigam Limited	Public Works Department	2014-15	2020-21	1.00	0.00	0.00	1.00	0.00	0.00	1,825.40	1,825.40	1,826.40
11	Uttar Pradesh State Bridge Corporation Limited	Public Works Department	2017-18	2020-21	15.00	0.00	0.00	15.00	0.00	0.00	600.00	600.00	615.00
12	Uttar Pradesh Small Industries Corporation Limited	Laghu Udhhyog evam Niryat Protsahan	2010-11	2020-21	5.96	0.00	0.00	5.96	3.57	0.00	0.00	3.57	9.53
13	Uttar Pradesh Beej Vikas Nigam Limited	Agriculture	2017-18	2020-21	6.25	0.00	0.67	6.92	0.00	0.00	0.00	0.00	6.92
14	Uttar Pradesh Matsya Vikas Nigam Limited	Matsya & Pashudhan	2016-17	2019-20	1.07	0.00	0.00	1.07	0.00	0.00	0.00	0.00	1.07
15	Uttar Pradesh Drugs and Pharmaceuticals Limited	Health	2009-10	2012-13	1.10	0.00	0.00	1.10	0.00	0.00	0.00	0.00	1.10
16	Uttar Pradesh Rajya Chini Avam Ganna Vikas Nigam Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	Sugar Industry and Cane Development	2016-17	2020-21	880.13	0.00	0.00	880.13	0.00	0.00	899.00	899.00	1,779.13
17	Uttar Pradesh State Sugar Corporation Limited	Sugar Industry and Cane Development	2015-16	2018-19	1,648.31	0.00	0.00	1,648.31	909.00	0.00	46.17	955.17	2,603.48

Sl. No.	Name of the PSUs	Name of Department	Period of latest finalised accounts	Year in which finalised	Equity at close of the year 2020-21				Long Term Loans outstanding at close of the year 2020-21				Total Investment (Equity and Long Term Loans)
					State Govt.	GoI	Others	Total	State Govt. Loan	Central Govt. Loan	Holding Company/ Other Financial Institution Loan	Total	
18	Uttar Pradesh Handicraft Development and Marketing Corporation Limited (Formerly Uttar Pradesh Export Corporation Limited)	Laghu Udyog evam Niryat Protsahan	2007-08	2017-18	6.34	0.90	0.00	7.24	12.44	0.00	0.00	12.44	19.68
19	Uttar Pradesh State Tourism Development Corporation Limited	Tourism	2016-17	2019-20	32.60	0.00	0.00	32.60	0.15	0.00	0.00	0.15	32.75
20	Lucknow City Transport Services Limited	Transport	Accounts not received	-	17.84	0.00	0.00	17.84	0.00	0.00	0.00	0.00	17.84
21	Meerut City Transport Services Limited	Transport	2013-14	2019-20	0.00	0.00	4.04	4.04	0.00	0.00	0.00	0.00	4.04
22	Agra Mathura City Transport Services Limited	Transport	Accounts not received	-	0.05	0.00	0.00	0.05	0.00	0.00	45.79	45.79	45.84
23	Kanpur City Transport Services Limited	Transport	Accounts not received	-	0.10	0.00	0.00	0.10	0.00	0.00	0.00	0.00	0.10
24	Varanasi City Transport Services Limited	Transport	Accounts not received	-	0.05	0.00	0.00	0.05	0.00	0.00	4.77	4.77	4.82
25	Uttar Pradesh Rajya Krishi Evam Gramin Vikas Nigam Limited	Co-operative Department	Accounts not received	-	0.05	0.00	0.05	0.10	0.00	0.00	0.00	0.00	0.10
26	Allahabad City Transport Services Limited	Urban Development	2017-18	2018-19	0.00	0.00	4.91	4.91	0.00	0.00	6.57	6.57	11.48

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Sl. No.	Name of the PSUs	Name of Department	Period of latest finalised accounts	Year in which finalised	Equity at close of the year 2020-21				Long Term Loans outstanding at close of the year 2020-21				Total Investment (Equity and Long Term Loans)
					State Govt.	GoI	Others	Total	State Govt. Loan	Central Govt. Loan	Holding Company/ Other Financial Institution Loan	Total	
27	Uttar Pradesh State Construction and Infrastructure Development Corporation Limited (formerly known as Uttar Pradesh Samaj Kalyan Nirman Nigam Limited)	Samaj Kalyan	2017-18	2019-20	0.15	0.00	0.00	0.15	0.00	0.00	0.00	0.00	0.15
28	Uttar Pradesh (Madhya) Ganna Beej Evam Vikas Nigam Limited	Sugar Industry and Cane Development	2017-18	2017-18	0.15	0.00	0.10	0.25	0.00	0.00	2.48	2.48	2.73
	Sub Total -IA(Y)				2,882.24	121.77	9.77	3,013.78	983.32	0.00	3,473.50	4,456.82	7,470.60
	Total of IA=IA(X)+IA(Y)				2,882.24	121.77	9.82	3,013.83	983.32	0.00	3,473.50	4,456.82	7,470.65
B	Government controlled other Companies												
X	Power Sector PSUs												
	Sub Total -IB(X)				0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Y	Other than Power Sector PSUs												
29	Jhansi Smart City Limited	Urban Development	Accounts not received	-	0.00	0.00	0.50	0.50	0.00	0.00	0.00	0.00	0.50
30	Kanpur Smart City Limited	Urban Development	Accounts not received	-	0.00	0.00	465.00	465.00	0.00	0.00	0.00	0.00	465.00
	Sub Total -IB(Y)				0.00	0.00	465.50	465.50	0.00	0.00	0.00	0.00	465.50
	Total of IB=IB(X)+IB(Y)				0.00	0.00	465.50	465.50	0.00	0.00	0.00	0.00	465.50
C	Statutory Corporations												
X	Power Sector PSUs												
	Sub Total -IC(X)				0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Sl. No.	Name of the PSUs	Name of Department	Period of latest finalised accounts	Year in which finalised	Equity at close of the year 2020-21				Long Term Loans outstanding at close of the year 2020-21				Total Investment (Equity and Long Term Loans)
					State Govt.	GoI	Others	Total	State Govt. Loan	Central Govt. Loan	Holding Company/ Other Financial Institution Loan	Total	
Y	Other than Power Sector PSUs												
31	Uttar Pradesh Financial Corporation	Infrastructure and Industrial Development	2012-13	2015-16	114.51	0.00	64.78	179.29	395.59	0.00	289.15	684.74	864.03
32	Uttar Pradesh State Warehousing Corporation	Co-operative	2017-18	2020-21	7.61	0.00	5.40	13.01	0.00	0.00	0.00	0.00	13.01
33	Uttar Pradesh Jal Nigam	Urban Development	2016-17	2018-19	0.00	0.00	0.00	0.00	539.29	0.00	0.00	539.29	539.29
	Sub Total -IC(Y)				122.12	0.00	70.18	192.30	934.88	0.00	289.15	1,224.03	1,416.33
	Total of IC=IC(X)+IC(Y)				122.12	0.00	70.18	192.30	934.88	0.00	289.15	1,224.03	1,416.33
	Total of Functional PSUs (Total-I)				3,004.36	121.77	545.50	3,671.63	1,918.20	0.00	3,762.65	5,680.85	9,352.48
II	Non-Functional PSUs												
A	Government Companies												
X	Power Sector PSUs												
34	Southern UP Power Transmission Company Limited		2020-21	2020-21	0.00	0.00	2.22	2.22	0.00	0.00	0.00	0.00	2.22
	Sub Total -IIA(X)				0.00	0.00	2.22	2.22	0.00	0.00	0.00	0.00	2.22
Y	Other than Power Sector PSUs												
35	Uplease Financial Services Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	Information Technology and Electronics	1997-98	1998-99	0.00	0.00	1.06	1.06	0.00	0.00	4.15	4.15	5.21
36	Uttar Pradesh State Mineral Development Corporation Limited	Infrastructure and Industrial Development	2013-14	2016-17	59.43	0.00	0.00	59.43	18.24	0.00	0.00	18.24	77.67

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Sl. No.	Name of the PSUs	Name of Department	Period of latest finalised accounts	Year in which finalised	Equity at close of the year 2020-21				Long Term Loans outstanding at close of the year 2020-21				Total Investment (Equity and Long Term Loans)
					State Govt.	GoI	Others	Total	State Govt. Loan	Central Govt. Loan	Holding Company/ Other Financial Institution Loan	Total	
37	Chhata Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	Sugar Industry and Cane Development	2016-17	2019-20	0.00	0.00	81.38	81.38	0.00	0.00	28.88	28.88	110.26
38	Ghatampur Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	Sugar Industry and Cane Development	2016-17	2017-18	0.00	0.00	147.72	147.72	0.00	0.00	3.90	3.90	151.62
39	Nandganj-Sihori Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	Sugar Industry and Cane Development	2016-17	2019-20	0.00	0.00	256.80	256.80	0.00	0.00	0.00	0.00	256.80
40	The Indian Turpentine and Rosin Company Limited	Infrastructure and Industrial Development	2010-11	2012-13	0.22	0.00	0.00	0.22	5.74	0.00	0.00	5.74	5.96
41	Uttar Pradesh Instruments Limited (Subsidiary of Uttar Pradesh State Industrial Development Corporation Limited)	Infrastructure and Industrial Development	2001-02	2005-06	0.09	0.00	1.93	2.02	5.55	0.00	11.49	17.04	19.06
42	Uttar Pradesh State Brassware Corporation Limited	Laghu Udyog evam Niryat Protsahan	1997-98	2007-08	5.28	0.10	0.00	5.38	1.94	0.00	0.00	1.94	7.32
43	Uttar Pradesh State Textile Corporation Limited	Hathkargha evam Vastra Udyog	2019-20	2020-21	197.10	0.00	0.00	197.10	91.78	0.00	0.00	91.78	288.88

Sl. No.	Name of the PSUs	Name of Department	Period of latest finalised accounts	Year in which finalised	Equity at close of the year 2020-21				Long Term Loans outstanding at close of the year 2020-21				Total Investment (Equity and Long Term Loans)
					State Govt.	GoI	Others	Total	State Govt. Loan	Central Govt. Loan	Holding Company/ Other Financial Institution Loan	Total	
44	Uttar Pradesh State Handloom Corporation Limited	Hathkargha evam Vastra Udhog	2000-01	2020-21	36.45	10.63	0.00	47.08	157.02	0.00	2.70	159.72	206.80
45	Uttar Pradesh State Leather Development and Marketing Corporation Limited	Laghu Udhog evam Niryat Protsahan	2000-01	2002-03	5.74	0.00	0.00	5.74	1.91	0.00	0.00	1.91	7.65
46	Uttar Pradesh State Yarn Company Limited	Hathkargha evam Vastra Udhog	2020-21	2020-21	53.67	0.00	0.00	53.67	78.31	0.00	12.74	91.05	144.72
47	Uttar Pradesh Pashudhan Udyog Nigam Limited	Matsya & Pashudhan	2015-16	2018-19	2.73	0.00	0.00	2.73	0.71	0.00	0.00	0.71	3.44
48	Uttar Pradesh Poultry and Livestock Specialties Limited	Matsya & Pashudhan	2013-14	2020-21	2.88	0.06	0.00	2.94	0.00	1.10	0.00	1.10	4.04
49	Uttar Pradesh State Horticultural Produce Marketing & Processing Corporation Limited	Food Processing	1984-85	1994-95	6.41	0.00	0.64	7.05	3.34	0.00	0.00	3.34	10.39
50	Uttar Pradesh (Paschim) Ganna Beej Evam Vikas Nigam Limited	Sugar Industry and Cane Development	2013-14	2014-15	0.51	0.00	0.14	0.65	0.00	0.00	0.00	0.00	0.65
51	Uttar Pradesh Panchayati Raj Vitta Evam Vikas Nigam Limited	Panchayati Raj	1995-96	2012-13	1.50	0.00	0.00	1.50	0.00	0.00	0.00	0.00	1.50
52	Agra Mandal Vikas Nigam Limited	Bhumi Vikas and Jal Sansadhan	1988-89	2007-08	1.00	0.00	0.00	1.00	0.05	0.00	0.00	0.05	1.05
53	Allahabad Mandal Vikas Nigam Limited	Bhumi Vikas and Jal Sansadhan	1983-84	1992-93	0.67	0.00	0.00	0.67	0.66	0.00	0.00	0.66	1.33
54	Bareilly Mandal Vikas Nigam Limited	Bhumi Vikas and Jal Sansadhan	1988-89	2011-12	1.25	0.00	0.00	1.25	0.00	0.00	0.65	0.65	1.90

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Sl. No.	Name of the PSUs	Name of Department	Period of latest finalised accounts	Year in which finalised	Equity at close of the year 2020-21				Long Term Loans outstanding at close of the year 2020-21				Total Investment (Equity and Long Term Loans)
					State Govt.	GoI	Others	Total	State Govt. Loan	Central Govt. Loan	Holding Company/ Other Financial Institution Loan	Total	
55	Gorakhpur Mandal Vikas Nigam Limited	Bhumi Vikas & Jal Sansadhan	1988-89	2013-14	1.26	0.00	0.00	1.26	0.65	0.00	0.27	0.92	2.18
56	Lucknow Mandaliya Vikas Nigam Limited	Bhumi Vikas & Jal Sansadhan	1981-82	1992-93	0.70	0.00	0.00	0.70	0.86	0.00	0.00	0.86	1.56
57	Meerut Mandal Vikas Nigam Limited	Bhumi Vikas & Jal Sansadhan	2008-09	2010-11	1.00	0.00	0.00	1.00	0.00	0.00	0.01	0.01	1.01
58	Moradabad Mandal Vikas Nigam Limited	Bhumi Vikas & Jal Sansadhan	1991-92	2011-12	0.25	0.00	0.00	0.25	0.65	0.00	0.00	0.65	0.90
59	Tarai Anusuchit Janjati Vikas Nigam Limited	Samaj Kalyan	1982-83	1990-91	0.45	0.00	0.00	0.45	1.25	0.00	0.00	1.25	1.70
60	Uttar Pradesh Bundelkhand Vikas Nigam Limited	Bhumi Vikas & Jal Sansadhan	2010-11	2016-17	1.23	0.00	0.00	1.23	0.05	0.00	0.00	0.05	1.28
61	Uttar Pradesh Chalchitra Nigam Limited	Entertainment Tax	2009-10	2011-12	8.18	0.00	0.00	8.18	2.47	0.00	0.00	2.47	10.65
62	Uttar Pradesh Poorvanchal Vikas Nigam Limited	Samaj Kalyan	1987-88	1994-95	1.30	0.00	0.00	1.30	0.35	0.00	0.00	0.35	1.65
63	Varanasi Mandal Vikas Nigam Limited	Bhumi Vikas & Jal Sansadhan	1987-88	1993-94	0.70	0.00	0.00	0.70	0.00	0.00	0.30	0.30	1.00
64	Uttar Pradesh (Poorva) Ganna Beej Evam Vikas Nigam Limited	Sugar Industry and Cane Development	2002-03 (UL from 01 July 03)	2004-05	0.23	0.00	0.08	0.31	1.70	0.00	0.00	1.70	2.01
65	Uttar Pradesh (Rohilkhand Tarai) Ganna Beej Evam Vikash Nigam Limited	Sugar Industry and Cane Development	2006-07 (UL from 01 July 2003)	2008-09	0.38	0.00	0.33	0.71	6.55	0.00	0.00	6.55	7.26
66	Uttar Pradesh Cement Corporation Limited	Infrastructure and Industrial Development	1995-96 (UL from 08 February 1999)	1996-97	68.28	0.00	0.00	68.28	124.77	0.00	0.00	124.77	193.05

Sl. No.	Name of the PSUs	Name of Department	Period of latest finalised accounts	Year in which finalised	Equity at close of the year 2020-21				Long Term Loans outstanding at close of the year 2020-21				Total Investment (Equity and Long Term Loans)
					State Govt.	GoI	Others	Total	State Govt. Loan	Central Govt. Loan	Holding Company/ Other Financial Institution Loan	Total	
67	Vindhyachal Abrasives Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited)	Infrastructure and Industrial Development	1987-88 (UL from 28 November 2002)	1995-96	0.00	0.00	0.00	0.00	0.00	0.00	0.84	0.84	0.84
68	Auto Tractors Limited	Infrastructure and Industrial Development	1991-92 (UL from 14 February 2003)	1995-96	5.63	0.00	1.87	7.50	0.38	0.00	0.00	0.38	7.88
69	Bhadohi Woollens Limited (Subsidiary of Uttar Pradesh State Textile Corporation Limited)	Hathkargha evam Vastra Udhog	1994-95 (UL from 20 February 1996)	-	0.00	0.00	3.76	3.76	0.00	0.00	0.00	0.00	3.76
70	Continental Float Glass Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited)	Infrastructure and Industrial Development	1997-98 (UL from 01 April 2002)	2002-03	0.00	0.00	46.24	46.24	0.00	0.00	138.85	138.85	185.09
71	Kanpur Components Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	Information Technology and Electronics	(UL from 10 June 1996)	-	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
72	Uttar Pradesh Abscott Private Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited)	Laghu Udhog evam Niryat Protsahan	1975-76 (UL from 19 April 1996)	-	0.00	0.00	0.05	0.05	0.00	0.00	0.00	0.00	0.05

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Sl. No.	Name of the PSUs	Name of Department	Period of latest finalised accounts	Year in which finalised	Equity at close of the year 2020-21				Long Term Loans outstanding at close of the year 2020-21				Total Investment (Equity and Long Term Loans)
					State Govt.	GoI	Others	Total	State Govt. Loan	Central Govt. Loan	Holding Company/ Other Financial Institution Loan	Total	
73	Uttar Pradesh Carbide and Chemicals Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited)	Infrastructure and Industrial Development	1992-93 (UL from 19 February 1994)	-	0.00	0.00	6.59	6.59	11.02	0.00	0.00	11.02	17.61
74	Uttar Pradesh Plant Protection Appliances (Private) Limited (Subsidiary of Uttar Pradesh Small Industries Corporation Limited)	Laghu Udhog evam Niryat Protsahan	1974-75 (UL from November 2003)	1984-85	0.00	0.00	0.02	0.02	0.00	0.00	0.00	0.00	0.02
75	Uptron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	Information Technology and Electronics	1995-96	1997-98	0.00	0.00	57.93	57.93	0.00	0.00	9.70	9.70	67.63
Sub Total -IIA(Y)					464.52	10.79	606.54	1,081.85	515.95	1.10	214.48	731.53	1,813.38
Total of IIA=IIA(X)+IIA(Y)					464.52	10.79	608.76	1,084.07	515.95	1.10	214.48	731.53	1,815.60
B	Government controlled other Companies												
X	Power Sector PSUs												
	Sub Total -IIB(X)				0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Y	Other than Power Sector PSUs												
76	Command Area Poultry Development Corporation Limited	Matsya & Pashudhan	1994-95	-	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
77	Electronics and Computers (India)	Information Technology and Electronics	(UL from 14 July 1981)	-	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Sub Total -IIB(Y)					0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Total of IIB=IIB(X)+IIB(Y)					0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Sl. No.	Name of the PSUs	Name of Department	Period of latest finalised accounts	Year in which finalised	Equity at close of the year 2020-21				Long Term Loans outstanding at close of the year 2020-21				Total Investment (Equity and Long Term Loans)
					State Govt.	GoI	Others	Total	State Govt. Loan	Central Govt. Loan	Holding Company/ Other Financial Institution Loan	Total	
C	Statutory Corporations												
X	Power Sector PSUs												
	Sub Total -IIC(X)				0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Y	Other than Power Sector PSUs												
	Sub Total -IIC(Y)				0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	Total of IIC=IIC(X)+IIC(Y)				0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	Total of Non-Functional PSUs (Total-II)				464.52	10.79	608.76	1,084.07	515.95	1.10	214.48	731.53	1,815.60
	Total of Power Sector PSUs				0.00	0.00	2.27	2.27	0.00	0.00	0.00	0.00	2.27
	Total of Other than Power Sector PSUs				3,468.88	132.56	1,151.99	4,753.43	2,434.15	1.10	3,977.13	6,412.38	11,165.81
	GRAND TOTAL (Total-I + Total-II)				3,468.88	132.56	1,154.26	4,755.70	2,434.15	1.10	3,977.13	6,412.38	11,168.08

Appendix-5.3
(Referred to in Paragraph 5.2)

Statement showing position of equity and outstanding loans relating to PSUs covered in the Chapter as on 31 March 2021

(₹ in crore)

Sl. No.	Name of the PSUs	Name of Department	Number of PSUs	Month and year of incorporation	Equity at close of the year 2020-21				Long Terms Loans outstanding at close of the year 2020-21				Total Investment (Equity and Long term loans)
					State Govt.	GoI	Others	Total	State Govt. loan	Central Govt. Loan	Holding Company/ Other Financial Institutions loan	Total	
1	2	3		5	6 (a)	6 (b)	6 (c)	6 (d)	7 (a)	7(b)	7(c)	7 (d)	8
Covered in this Chapter													
A	Government Companies												
X	Power Sector PSUs												
1	Uttar Pradesh Rajya Vidyut Utpadan Nigam Limited(including subsidiary JVUNL)	Energy	2	25 August 1980 (UPRVUN)	16,545.77	0.00	0.00	16,545.77	0.00	0.00	21,538.68	21,538.68	38,084.45
2	Uttar Pradesh Jal Vidyut Nigam Limited	Energy	1	15 April 1985	435.33	0.00	0.00	435.33	64.65	0.00	85.21	149.86	585.19
3	Uttar Pradesh Power Transmission Corporation Limited	Energy	1	13 July 2006	15,309.81 ⁷	0.00	2,213.34	17,523.15	0.00	0.00	13,277.07	13,277.07	30,800.22
4	Uttar Pradesh Power Corporation Limited	Energy	6	30 November 1999 (UPPCL)	1,02,224.96 ⁸	0.00	0.00	1,02,224.96	341.46	0.00	78,304.51	78,645.97	1,80,870.93

⁷ It includes ₹ 2,213.27 crore of GoUP investment obtained through UPPCL.

⁸ It excludes ₹ 2,213.27 crore of GoUP investment in UPPTCL through UPPCL as the same is included in GoUP investment in UPPTCL. Further, it excludes ₹ 2.22 crore of GoUP investment in SUPPTCL through UPPCL as SUPPTCL is not covered in this Chapter. Further, out of ₹ 1,02,224.96 crore, ₹ 20.00 lakh are related to promoters' shares allotted to UPPCL by DISCOMs, i.e., 500 equity shares valuing to ₹ 5.00 lakh (500 shares @ ₹ 1,000/- each) to four DISCOMs.

Sl. No.	Name of the PSUs	Name of Department	Number of PSUs	Month and year of incorporation	Equity at close of the year 2020-21				Long Terms Loans outstanding at close of the year 2020-21				Total Investment (Equity and Long term loans)
					State Govt.	GoI	Others	Total	State Govt. loan	Central Govt. Loan	Holding Company/ Other Financial Institutions loan	Total	
	(including PuVVNL, PVVNL, DVVNL, MVVNL and KESCO)												
5	UCM Coal Company Limited (Joint Venture of Sl. No. 1)	Energy	1	16 October 2008	0.00	0.00	0.16	0.16	0.00	0.00	2.50	2.50	2.66
	Sub Total -A(X)		11		1,34,515.87	0.00	2,213.50	1,36,729.37	406.11	0.00	1,13,207.97	1,13,614.08	2,50,343.45
Y	Other than Power Sector PSUs												
6	U P Metro Rail Corporation Limited (Erstwhile Lucknow Metro Rail Corporation Limited)	Housing and Urban Planning	1	25 November 2013	1,603.75	1,556.94	0.05	3,160.74	1,008.20	4,628.85	0.00	5,637.05	8,797.79
7	NOIDA Metro Rail Corporation Limited	Infrastructure and Industrial Development	1	11 May 2014	0.00	687.62	712.62	1,400.24	0.00	283.00	1,581.18	1,864.18	3,264.42
8	The Pradeshia Industrial and Investment Corporation of UP Limited	Infrastructure and Industrial Development	1	29 March 1972	110.58	0.00	25.00	135.58	1,177.50	4.10	0.00	1,181.60	1,317.18
9	Shreetron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	Information Technology and Electronics	1	02 October 1979	0.00	0.00	0.77	0.77	0.00	0.00	0.00	0.00	0.77

Sl. No.	Name of the PSUs	Name of Department	Number of PSUs	Month and year of incorporation	Equity at close of the year 2020-21				Long Terms Loans outstanding at close of the year 2020-21				Total Investment (Equity and Long term loans)
					State Govt.	GoI	Others	Total	State Govt. loan	Central Govt. Loan	Holding Company/ Other Financial Institutions loan	Total	
10	Uptron Powertronics Limited (subsidiary of Uttar Pradesh Electronics Corporation)	Information Technology and Electronics	1	04 October 1974	0.00	0.00	1.47	1.47	0.00	0.00	0.00	0.00	1.47
11	Uttar Pradesh Electronics Corporations Limited	Information Technology and Electronics	1	20 March 1974	91.54 ⁹	0.00	0.00	91.54	111.68 ¹⁰	0.00	0.00	111.68	203.22
12	Uttar Pradesh Development Systems Corporation Limited	Information Technology and Electronics	1	15 March 1977	1.00	0.00	0.00	1.00	0.00	0.00	0.00	0.00	1.00
13	Uttar Pradesh Purva Sainik Kalyan Nigam Limited	Samaj Kalyan	1	23 May 1989	0.43	0.00	0.00	0.43	0.00	0.00	0.00	0.00	0.43
14	Uttar Pradesh Police Avas Nigam Limited	Home	1	27 March 1987	3.00	0.00	0.00	3.00	0.00	0.00	0.00	0.00	3.00
15	Uttar Pradesh Export Promotion Council	Laghu Udyog evam Niryat Protsahan	1	11 August 2016	0.05	0.00	0.00	0.05	5.00	0.00	0.00	5.00	5.05

⁹ Government of UP Investment in the form of Equity of ₹ 91.54 crore in holding company at SI No. 11 includes an amount of ₹ 8.95 crore (₹ 6.35 crore and ₹ 2.60 crore) which was given for its subsidiary companies at SI No. 9 and 10. The same has been excluded from Equity of these subsidiary companies to avoid double counting of investment.

¹⁰ Government of UP Investment in the form of Long term loan of ₹ 111.68 crore in holding company at SI No. 11 includes an amount of ₹ 4.53 crore which was given for its subsidiary companies at SI No. 9 (₹ 2.06 crore) and 10 (₹ 2.47 crore). The same has been excluded from Long term loan of these subsidiary companies to avoid double counting of investment.

Sl. No.	Name of the PSUs	Name of Department	Number of PSUs	Month and year of incorporation	Equity at close of the year 2020-21				Long Terms Loans outstanding at close of the year 2020-21				Total Investment (Equity and Long term loans)
					State Govt.	GoI	Others	Total	State Govt. loan	Central Govt. Loan	Holding Company/ Other Financial Institutions loan	Total	
16	Uttar Pradesh Medical Supply Corporation Limited	Health and Family Welfare	1	23 March 2018	11.00	0.00	0.00	11.00	0.00	0.00	0.00	0.00	11.00
17	U.P. Projects Corporation Limited	Irrigation	1	26 May 1976	5.40	1.00	0.00	6.40	0.00	0.00	0.00	0.00	6.40
18	Uttar Pradesh State Spinning Company Limited	Hathkargha evam Vastra Udhog	1	20 August 1976	93.24	0.00	0.00	93.24	149.21	0.00	0.00	149.21	242.45
Sub Total -A(Y)			13		1,919.99	2,245.56	739.91	4,905.46	2,451.59	4,915.95	1,581.18	8,948.72	13,854.18
Total - A			24		1,36,435.86	2,245.56	2,953.41	1,41,634.83	2,857.70	4,915.95	1,14,789.15	1,22,562.80	2,64,197.63
B	Government controlled other Companies												
X	Power Sector PSUs												
Sub Total -B(X)					0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Y	Other than Power Sector PSUs												
B	Government controlled other Companies												
19	Lucknow Smart City Limited	Urban - Development	1	16 August 2016	0.00	0.00	382.00	382.00	0.00	0.00	0.00	0.00	382.00
20	Agra Smart City Limited	Urban Development	1	01 September 2017	0.00	0.00	216.00	216.00	0.00	0.00	0.00	0.00	216.00
21	Aligarh Smart City Limited	Urban Development	1	30 August 2017	0.00	0.00	0.50	0.50	0.00	0.00	0.00	0.00	0.50
22	Bareilly Smart City Limited	Urban Development	1	03 April 2018	0.00	0.00	0.50	0.50	0.00	0.00	0.00	0.00	0.50

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Sl. No.	Name of the PSUs	Name of Department	Number of PSUs	Month and year of incorporation	Equity at close of the year 2020-21				Long Terms Loans outstanding at close of the year 2020-21				Total Investment (Equity and Long term loans)
					State Govt.	GoI	Others	Total	State Govt. loan	Central Govt. Loan	Holding Company/ Other Financial Institutions loan	Total	
23	Prayagraj Smart City Limited	Urban Development	1	29 August 2017	0.00	0.00	0.50	0.50	0.00	0.00	0.00	0.00	0.50
24	Varanasi Smart City Limited	Urban Development	1	29 October 2016	0.00	100.00	100.00	200.00	0.00	0.00	0.00	0.00	200.00
25	Moradabad Smart City Limited	Urban Development	1	16 June 2018	0.00	0.00	0.50	0.50	0.00	0.00	0.00	0.00	0.50
26	Saharanpur Smart City Limited	Urban Development	1	01 March 2018	0.00	0.00	0.50	0.50	0.00	0.00	0.00	0.00	0.50
27	Almora Magnesite Limited		1	27 August 1971	0.00	0.00	2.00	2.00	0.00	0.00	0.56	0.56	2.56
28	Noida International Airport Limited	Civil Aviation	1	28 August 2018	0.00	0.00	0.01	0.01	2,733.55	0.00	2,562.77	5,296.32	5,296.33
29	DMIC Integrated Industrial Township Greater Noida Limited	Infrastructure	1	18 March 2014	0.00	0.00	1,234.40	1,234.40	0.00	0.00	0.00	0.00	1,234.40
	Sub Total -B(Y)		11		0.00	100.00	1,936.91	2,036.91	2,733.55	0.00	2,563.33	5,296.88	7,333.79
	Total - B		11		0.00	100.00	1,936.91	2,036.91	2,733.55	0.00	2,563.33	5,296.88	7,333.79
C	Statutory Corporations												
X	Power Sector PSUs												
	Sub Total -C(X)				0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Y	Other than Power Sector PSUs												
30	Uttar Pradesh State Road Transport Corporation	Transport	1	06 January 1972	921.41	0.00	60.01	981.42	30.00	0.00	0.00	30.00	1,011.42

Sl. No.	Name of the PSUs	Name of Department	Number of PSUs	Month and year of incorporation	Equity at close of the year 2020-21				Long Terms Loans outstanding at close of the year 2020-21				Total Investment (Equity and Long term loans)
					State Govt.	GoI	Others	Total	State Govt. loan	Central Govt. Loan	Holding Company/ Other Financial Institutions loan	Total	
31	Uttar Pradesh Forest Corporation	Forest	1	25 November 1974	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
32	Uttar Pradesh Avas Evam Vikas Parishad	Housing and Urban Planning	1	04 March 1966	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	Sub Total -C(Y)		3		921.41	0.00	60.01	981.42	30.00	0.00	0.00	30.00	1,011.42
	Total - C		3		921.41	0.00	60.01	981.42	30.00	0.00	0.00	30.00	1,011.42
	Total of Power Sector PSUs = A(X)+B(X)+C(X)		11		1,34,515.87	0.00	2,213.50	1,36,729.37	406.11	0.00	1,13,207.97	1,13,614.08	2,50,343.45
	Total of other than Power Sector PSUs = A(Y)+B(Y)+C(Y)		27		2,841.40	2,345.56	2,736.83	7,923.79	5,215.14	4,915.95	4,144.51	14,275.60	22,199.39
	Grand Total of (A+B+C)		38		1,37,357.27	2,345.56	4,950.33	1,44,653.16	5,621.25	4,915.95	1,17,352.48	1,27,889.68	2,72,542.84

Appendix-5.4
(Referred to in Paragraph 5.2.1)

Coverage of Long Term Loan with Total Assets in PSUs as per their latest finalised accounts

(₹ in crore)

Sl. No.	Name of the Company	Period of Accounts	Year in which finalised	Long Term Loan	Total Assets	Asset Coverage Ratio
I.	PSUs with Positive Coverage					
A	Government companies					
X	Power Sector PSUs					
1	Uttar Pradesh Rajya Vidyut Utpadan Nigam Limited	2019-20	2020-21	13,250.54	38,068.27	287.30
2	Uttar Pradesh Jal Vidyut Nigam Limited	2019-20	2020-21	152.08	877.70	577.13
3	Jawaharpur Vidyut Utpadan Nigam Limited	2019-20	2020-21	2,798.69	4,781.35	170.84
4	Uttar Pradesh Power Transmission Corporation Limited	2019-20	2020-21	11,593.35	35,970.78	310.27
5	Purvanchal Vidyut Vitaran Nigam Limited	2019-20	2020-21	13,692.83	49,800.75	363.70
6	Paschimanchal Vidyut Vitaran Nigam Limited	2019-20	2020-21	10,268.76	28,948.43	281.91
7	Madhyanchal Vidyut Vitaran Nigam Limited	2019-20	2020-21	11,347.81	41,104.65	362.23
8	Dakshinanchal Vidyut Vitaran Nigam Limited	2019-20	2020-21	15,329.00	36,343.46	237.09
9	Kanpur Electricity Supply Company Limited	2019-20	2020-21	1,817.75	4,614.79	253.87
10	UCM Coal Company Limited	2019-20	2020-21	2.50	54.42	2,176.80
	Sub Total -A(X)			80,253.31	2,40,564.60	299.76
Y	Other than Power Sector PSUs					
11	Uttar Pradesh Metro Rail Corporation Limited	2020-21	2020-21	5,637.05	9,113.78	161.68
12	NOIDA Metro Rail Corporation Limited	2020-21	2020-21	1,864.18	4,599.56	246.73
13	The Pradeshiya Industrial and Investment Corporation of UP Limited	2018-19	2019-20	941.13	1,004.74	106.76
14	Shretron India Limited	2019-20	2020.21	2.06	55.70	2,703.88
15	Uptron Powertronics Limited	2018-19	2020-21	2.87	45.17	1,573.87

Sl. No.	Name of the Company	Period of Accounts	Year in which finalised	Long Term Loan	Total Assets	Asset Coverage Ratio
16	Uttar Pradesh Electronics Corporations Limited	2018-19	2020-21	107.35	419.68	390.95
17	Uttar Pradesh Export Promotion Council	2020-21	2020-21	5.00	7.60	152.00
	Sub Total -A(Y)			8,559.64	15,246.23	178.12
	Total of A=A(X)+A(Y)			88,812.95	2,55,810.83	288.03
B	Government controlled other Companies					
X	Power Sector PSUs					
	Sub Total -B(X)			0.00	0.00	-
Y	Other than Power Sector PSUs					
18	Almora Magnesite Limited	2019-20	2019-20	0.30	23.23	7,743.33
19	Noida International Airport Limited (NIAL)	2019-20	2020-21	3,607.12	3,610.24	100.09
	Sub Total -B(Y)			3,607.42	3,633.47	100.72
	Total of B=B(X)+B(Y)			3,607.42	3,633.47	100.72
C	Statutory Corporations					
X	Power Sector PSUs					
	Sub Total -C(X)			0.00	0.00	-
Y	Other than Power Sector PSUs					
20	Uttar Pradesh State Road Transport Corporation	2018-19	2018-19	41.72	3,024.95	7,250.60
	Sub Total -C(Y)			41.72	3,024.95	7,250.60
	Total of C=C(X)+C(Y)			41.72	3,024.95	7,250.60
	Total of Power Sector= A(x)+B(X)+C(X)			80,253.31	2,40,564.60	299.76
	Total of Other than Power Sector = A(Y)+B(Y)+C(Y)			12,208.78	21,904.65	179.42
	Grand Total of (A+B+C)			92,462.09	2,62,469.25	283.87
II.	PSUs with Negative Coverage					
A	Government companies					
X	Power Sector PSUs					
	Sub Total -A(X)			0.00	0.00	-
Y	Other than Power Sector PSUs					
21	Uttar Pradesh State Spinning Company Limited	2018-19	2020-21	101.41	43.19	42.59
	Sub Total -A(Y)			101.41	43.19	42.59
	Total of A=A(X)+A(Y)			101.41	43.19	42.59

Sl. No.	Name of the Company	Period of Accounts	Year in which finalised	Long Term Loan	Total Assets	Asset Coverage Ratio
B	Government controlled other Companies					
X	Power Sector PSUs					
	Sub Total -B(X)			0.00	0.00	-
Y	Other than Power Sector PSUs					
	Sub Total -B(Y)			0.00	0.00	-
	Total of B=B(X)+B(Y)			0.00	0.00	-
C	Statutory Corporations					
X	Power Sector PSUs					
	Sub Total -C (X)			0.00	0.00	-
Y	Other than Power Sector PSUs					
	Sub Total -C (Y)			0.00	0.00	-
	Total of C=C(X)+C(Y)			0.00	0.00	-
	Total of Power Sector PSUs A(X)+B(X)+C(X)			0.00	0.00	-
	Total of Other than Power Sector A(Y)+B(Y)+C(Y)			101.41	43.19	42.59
	Grand Total of (A+B+C)			101.41	43.19	42.59

Appendix-5.5

(Referred to in Paragraph 5.2.2.1)

Statement showing difference between Finance Accounts of Government of Uttar Pradesh and Accounts of the Public Sector Undertakings in respect of balances of Equity, Loans and Guarantees as on 30 November 2021

(₹ in crore)

Sl. No.	Name of the PSUs	As per records of PSU			As per Finance Accounts of Government of Uttar Pradesh			Difference		
		Equity (including share application money pending allotment)	Loan Outstanding	Guarantee Outstanding	Equity (including share application money pending allotment)	Loan Outstanding	Guarantee Outstanding	Equity (including share application money pending allotment)	Loan Outstanding	Guarantee Outstanding
1	2	3	4	5	6	7	8	9 = 3-6	10 = 4-7	11 = 5-8
I.	Functional PSUs									
A	Government Companies									
X	Power Sector PSUs									
1	Uttar Pradesh Rajya Vidyut Utpadan Nigam Limited (including Jawaharpur Vidyut Utpadan Nigam Limited)	16,545.77	0.00	27,199.14	13,456.60	0.00	27,833.36	3,089.17	0.00	(-)634.22
2	Uttar Pradesh Jal Vidyut Nigam Limited	435.33	64.65	0.00	435.33	0.00	0.00	0.00	64.65	0.00
3	Uttar Pradesh Power Transmission Corporation Limited	15,309.81	0.00	972.40	15,576.01	0.00	972.40	(-)266.20	0.00	0.00
4	Kanpur Electricity Supply Company Limited	0.00	0.00	0.00	0.00 ¹¹	0.00	0.00	0.00	0.00	0.00
	Sub Total A(X)	32,290.91	64.65	28,171.54	29,467.94	0.00	28,805.76	2,822.97	64.65	(-)634.22
Y	Other than Power Sector PSUs									
5	U P Metro Rail Corporation Limited (erstwhile Lucknow Metro Rail Corporation Limited)	1,603.75	1,008.20	0.00	1,278.00	1,309.60	0.00	325.75	(-)301.40	0.00

¹¹ ₹ 7,000

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Sl. No.	Name of the PSUs	As per records of PSU			As per Finance Accounts of Government of Uttar Pradesh			Difference		
		Equity (including share application money pending allotment)	Loan Outstanding	Guarantee Outstanding	Equity (including share application money pending allotment)	Loan Outstanding	Guarantee Outstanding	Equity (including share application money pending allotment)	Loan Outstanding	Guarantee Outstanding
6	The Pradeshiya Industrial and Investment Corporation of UP Limited	110.58	1,177.50	1.39	110.58	411.26	1.39	0.00	766.24	0.00
7	Uttar Pradesh Electronics Corporation Limited	91.54	111.68	0.00	91.54	31.23	0.00	0.00	80.45	0.00
8	Uttar Pradesh Export Promotion Council	0.05	5.00	0.00	0.00	0.95	0.00	0.05	4.05	0.00
9	Uttar Pradesh Medical Supply Corporation Limited	11.00	0.00	0.00	0.00	0.00	0.00	11.00	0.00	0.00
10	Uttar Pradesh Alpsankhyak Vittyva Evam Vikas Nigam Limited	30.00	0.00	0.00	30.00	15.18	0.00	0.00	(-)15.18	0.00
11	Uttar Pradesh Pichhara Varg Vitta Evam Vikas Nigam Limited	12.23	57.43	0.00	13.56	0.00	52.65	(-)1.33	57.43	(-)52.65
12	Uttar Pradesh Scheduled Castes Finance and Development Corporation Limited	136.99	0.00	0.00	126.99	0.00	0.00	10.00	0.00	0.00
13	Uttar Pradesh Food and Essential Commodities Corporation Limited	12.34	0.73	0.00	12.34	0.78	3,000.00	0.00	(-)0.05	(-)3,000.00
14	Uttar Pradesh State Agro Industrial Corporation Limited	58.32	0.00	40.00	58.32	4.69	0.00	0.00	(-)4.69	40.00

Sl. No.	Name of the PSUs	As per records of PSU			As per Finance Accounts of Government of Uttar Pradesh			Difference		
		Equity (including share application money pending allotment)	Loan Outstanding	Guarantee Outstanding	Equity (including share application money pending allotment)	Loan Outstanding	Guarantee Outstanding	Equity (including share application money pending allotment)	Loan Outstanding	Guarantee Outstanding
15	Uttar Pradesh Rajkiya Nirman Nigam Limited	1.00	0.00	2,380.00	1.00	0.00	182.54	0.00	0.00	2,197.46
16	Uttar Pradesh State Bridge Corporation Limited	15.00	0.00	1,000.00	15.00	0.00	21.44	0.00	0.00	978.56
17	Uttar Pradesh Small Industries Corporation Limited	5.96	3.57	0.00	5.96	0.44	0.00	0.00	3.13	0.00
18	Uttar Pradesh Rajya Chini Avam Ganna Vikas Nigam Limited	880.13	0.00	200.00	880.13	0.00	0.00	0.00	0.00	200.00
19	Uttar Pradesh State Sugar Corporation Limited	1,648.31	909.00	183.96	1,648.31	990.05	133.96	0.00	(-)81.05	50.00
20	Uttar Pradesh Handicraft Development and Marketing Corporation Limited (Formerly Uttar Pradesh Export Corporation Limited)	6.34	12.44	0.00	6.34	5.00	0.00	0.00	7.44	0.00
21	Uttar Pradesh State Tourism Development Corporation Limited	32.60	0.15	0.00	32.60	2.76	0.00	0.00	(-)2.61	0.00
22	Lucknow City Transport Services Limited	17.84	0.00	0.00	0.00	0.00	0.00	17.84	0.00	0.00
23	Agra Mathura City Transport Services Limited	0.05	0.00	0.00	0.00	0.00	0.00	0.05	0.00	0.00
24	Kanpur City Transport Services Limited (Incorporated w.e.f. 28 April 2010)	0.10	0.00	0.00	0.00	0.00	0.00	0.10	0.00	0.00

Sl. No.	Name of the PSUs	As per records of PSU			As per Finance Accounts of Government of Uttar Pradesh			Difference		
		Equity (including share application money pending allotment)	Loan Outstanding	Guarantee Outstanding	Equity (including share application money pending allotment)	Loan Outstanding	Guarantee Outstanding	Equity (including share application money pending allotment)	Loan Outstanding	Guarantee Outstanding
25	Varanasi City Transport Services Limited (Incorporated w.e.f.15 June 2010)	0.05	0.00	0.00	0.00	0.00	0.00	0.05	0.00	0.00
26	Uttar Pradesh Rajya Krishi Evam Gramin Vikas Nigam Limited	0.05	0.00	0.00	0.00	0.00	0.00	0.05	0.00	0.00
27	Uttar Pradesh State Spinning Company Limited	93.24	149.21	0.00	93.24	17.24	0.00	0.00	131.97	0.00
28	Uttar Pradesh (Madhya) Ganna Beej Evam Vikas Nigam Limited	0.15	0.00	0.00	0.15	0.00	1.80	0.00	0.00	(-)1.80
	Sub Total A(Y)	4,767.62	3,434.91	3,805.35	4,404.06	2,789.18	3,393.78	363.56	645.73	411.57
	Sub Total A	37,058.53	3,499.56	31,976.89	33,872.00	2,789.18	32,199.54	3,186.53	710.38	(-)222.65
B	Government Controlled Other Companies									
X	Power Sector PSUs									
	Sub Total B (X)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Y	Other than Power Sector PSUs									
29	Noida International Airport Limited (NIAL)	0.00	2,733.55	0.00	0.00	0.00	0.00	0.00	2,733.55	0.00
	Sub Total B (Y)	0.00	2,733.55	0.00	0.00	0.00	0.00	0.00	2,733.55	0.00
	Sub Total B	0.00	2,733.55	0.00	0.00	0.00	0.00	0.00	2,733.55	0.00
C	Statutory Corporations									
X	Power Sector PSUs									
	Sub Total C (X)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00

Sl. No.	Name of the PSUs	As per records of PSU			As per Finance Accounts of Government of Uttar Pradesh			Difference		
		Equity (including share application money pending allotment)	Loan Outstanding	Guarantee Outstanding	Equity (including share application money pending allotment)	Loan Outstanding	Guarantee Outstanding	Equity (including share application money pending allotment)	Loan Outstanding	Guarantee Outstanding
Y	Other than Power Sector PSUs									
30	Uttar Pradesh State Road Transport Corporation	921.41	30.00	0.00	766.18	39.18	0.00	155.23	(-)9.18	0.00
31	Uttar Pradesh Awas Evam Vikas Parishad	0.00	0.00	0.00	0.00	0.31	0.00	0.00	(-)0.31	0.00
32	Uttar Pradesh Financial Corporation	114.51	395.59	2.46	114.51	15.11	2.46	0.00	380.48	0.00
33	Uttar Pradesh State Warehousing Corporation	7.61	0.00	146.86	7.60	0.63	146.86	0.01	(-)0.63	0.00
34	Uttar Pradesh Jal Nigam	0.00	539.29	0.00	0.00	388.77	0.00	0.00	150.52	0.00
	Sub Total C (Y)	1,043.53	964.88	149.32	888.29	444.00	149.32	155.24	520.88	0.00
	Sub Total C	1,043.53	964.88	149.32	888.29	444.00	149.32	155.24	520.88	0.00
	Total of Functional PSUs (I)	38,102.06	7,197.99	32,126.21	34,760.29	3,233.18	32,348.86	3,341.77	3,964.81	(-)222.65
II.	Non-Functional PSUs									
A	Government Companies									
X	Power Sector PSUs									
	Sub Total A(X)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Y	Other than Power Sector PSUs									
35	Uttar Pradesh State Mineral Development Corporation Limited	59.43	18.24	0.00	59.43	4.97	0.00	0.00	13.28	0.00
36	Chhata Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	0.00	0.00	0.00	81.38	0.00	0.00	(-)81.38	0.00	0.00
37	Ghatampur Sugar Company Limited (Subsidiary of Uttar	0.00	0.00	0.00	147.72	0.00	0.00	(-)147.72	0.00	0.00

Sl. No.	Name of the PSUs	As per records of PSU			As per Finance Accounts of Government of Uttar Pradesh			Difference		
		Equity (including share application money pending allotment)	Loan Outstanding	Guarantee Outstanding	Equity (including share application money pending allotment)	Loan Outstanding	Guarantee Outstanding	Equity (including share application money pending allotment)	Loan Outstanding	Guarantee Outstanding
	Pradesh State Sugar Corporation Limited									
38	Nandganj-Sihori Sugar Company Limited (Subsidiary of Uttar Pradesh State Sugar Corporation Limited)	0.00	0.00	0.00	256.80	0.00	0.00	(-)256.80	0.00	0.00
39	The Indian Turpentine and Rosin Company Limited	0.22	5.74	0.00	0.30	1.56	1.76	(-)0.08	4.18	(-)1.76
40	Uttar Pradesh Instruments Limited (Subsidiary of Uttar Pradesh State Industrial Development Corporation Limited)	0.09	5.55	0.00	0.09	0.00	0.00	0.00	5.55	0.00
41	Uttar Pradesh State Brassware Corporation Limited	5.28	1.94	0.00	5.28	0.15	0.00	0.00	1.79	0.00
42	Uttar Pradesh State Textile Corporation Limited	197.10	91.78	0.00	160.79	206.03	0.00	36.31	(-)114.25	0.00
43	Uttar Pradesh State Handloom Corporation Limited	36.45	157.02	0.00	36.44	12.53	2.34	0.01	144.49	(-)2.34
44	Uttar Pradesh State Leather Development and Marketing Corporation Limited	5.74	1.91	0.00	5.74	1.40	0.00	0.00	0.51	0.00
45	Uttar Pradesh State Yarn Company Limited	53.67	78.31	0.00	31.91	11.45	0.00	21.76	66.86	0.00

Sl. No.	Name of the PSUs	As per records of PSU			As per Finance Accounts of Government of Uttar Pradesh			Difference		
		Equity (including share application money pending allotment)	Loan Outstanding	Guarantee Outstanding	Equity (including share application money pending allotment)	Loan Outstanding	Guarantee Outstanding	Equity (including share application money pending allotment)	Loan Outstanding	Guarantee Outstanding
46	Uttar Pradesh Pashudhan Udyog Nigam Limited	2.73	0.71	0.00	2.73	1.10	0.00	0.00	(-)0.39	0.00
47	Uttar Pradesh Poultry and Livestock Specialties Limited	2.88	0.00	0.00	0.44	0.00	0.00	2.44	0.00	0.00
48	Uttar Pradesh State Horticulture Produce Marketing & Processing Corporation Limited	6.41	3.34	0.56	6.41	0.00	0.56	0.00	3.34	0.01
49	Uttar Pradesh (Paschim) Ganna Beej Evam Vikas Nigam Limited	0.51	0.00	0.00	0.51	0.00	0.70	0.00	0.00	(-)0.70
50	Uttar Pradesh Panchayati Raj Vitt Evam Vikas Nigam Limited	1.50	0.00	0.00	0.78	0.00	0.00	0.72	0.00	0.00
51	Agra Mandal Vikas Nigam Limited	1.00	0.05	0.00	1.00	0.00	0.00	0.00	0.05	0.00
52	Allahabad Mandal Vikas Nigam Limited	0.67	0.66	0.00	0.67	0.00	0.00	0.00	0.66	0.00
53	Gorakhpur Mandal Vikas Nigam Limited	1.26	0.65	0.00	0.93	0.00	0.00	0.33	0.65	0.00
54	Lucknow Mandaliya Vikas Nigam Limited	0.70	0.86	0.00	0.70	0.00	0.00	0.00	0.86	0.00
55	Moradabad Mandal Vikas Nigam Limited	0.25	0.65	0.00	0.25	0.00	0.00	0.00	0.65	0.00
56	Tarai Anusuchit Janjati Vikas Nigam Limited	0.45	1.25	0.00	0.45	0.00	0.00	0.00	1.25	0.00

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Sl. No.	Name of the PSUs	As per records of PSU			As per Finance Accounts of Government of Uttar Pradesh			Difference		
		Equity (including share application money pending allotment)	Loan Outstanding	Guarantee Outstanding	Equity (including share application money pending allotment)	Loan Outstanding	Guarantee Outstanding	Equity (including share application money pending allotment)	Loan Outstanding	Guarantee Outstanding
57	Uttar Pradesh Bundelkhand Vikas Nigam Limited	1.23	0.05	0.00	1.23	0.00	0.00	0.00	0.05	0.00
58	Uttar Pradesh Chalchitra Nigam Limited	8.18	2.47	0.00	8.18	0.31	0.00	0.00	2.16	0.00
59	Uttar Pradesh Poorvanchal Vikas Nigam Limited	1.30	0.35	0.00	1.30	0.00	0.00	0.00	0.35	0.00
60	Uttar Pradesh (Poorva) Ganna Beej Evam Vikas Nigam Limited	0.23	1.70	0.00	0.23	1.63	0.00	0.00	0.07	0.00
61	Uttar Pradesh (Rohilkhand Tarai) Ganna Beej Evam Vikash Nigam Limited	0.38	6.55	0.00	0.38	0.00	0.00	0.00	6.55	0.00
62	Uttar Pradesh Cement Corporation Limited	68.28	124.77	0.00	68.28	37.45	0.00	0.00	87.32	0.00
63	Auto Tractors Limited	5.63	0.38	0.00	5.63	14.89	0.00	0.00	(-)14.51	0.00
64	Uttar Pradesh Carbide and Chemicals Limited (Subsidiary of Uttar Pradesh State Mineral Development Corporation Limited)	0.00	11.02	0.00	6.59	0.00	0.00	(-)6.59	11.02	0.00
65	Uptron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	0.00	0.00	0.00	0.00	2.85	0.00	0.00	(-)2.85	0.00
	Sub Total A(Y)	461.57	515.95	0.56	892.57	296.32	5.35	(-)431.00	219.63	(-)4.79
	Sub Total A	461.57	515.95	0.56	892.57	296.32	5.35	(-)431.00	219.63	(-)4.79

Sl. No.	Name of the PSUs	As per records of PSU			As per Finance Accounts of Government of Uttar Pradesh			Difference		
		Equity (including share application money pending allotment)	Loan Outstanding	Guarantee Outstanding	Equity (including share application money pending allotment)	Loan Outstanding	Guarantee Outstanding	Equity (including share application money pending allotment)	Loan Outstanding	Guarantee Outstanding
B	Government controlled other Companies									
X	Power Sector PSUs									
	Sub Total B (X)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Y	Other than Power Sector PSUs									
66	Command Area Poultry Development Corporation Limited	0.00	0.00	0.00	0.00	2.10	0.00	0.00	(-)2.10	0.00
	Sub Total B (Y)	0.00	0.00	0.00	0.00	2.10	0.00	0.00	(-)2.10	0.00
	Sub Total B	0.00	0.00	0.00	0.00	2.10	0.00	0.00	(-)2.10	0.00
C	Statutory Corporations									
X	Power Sector PSUs									
	Sub Total C (X)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
Y	Other than Power Sector PSUs									
	Sub Total C (Y)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	Sub Total C	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	Total of Non-Functional PSUs (II)	461.57	515.95	0.56	892.57	298.42	5.35	(-)431.00	217.53	(-)4.79
	Total of Power Sector PSUs	32,290.91	64.65	28,171.54	29,467.94	0.00	28,805.76	2,822.97	64.65	(-)634.22
	Total of Other than Power Sector PSUs	6,272.72	7,649.29	3,955.23	6,184.92	3,531.60	3,548.44	87.80	4,117.69	406.79
	Grand Total (Total-I + Total-II)	38,563.63	7,713.94	32,126.77	35,652.86	3,531.60	32,354.20	2,910.77	4,182.34	(-)227.43

Appendix 6.1
(Referred to in Paragraph 6.3.2)

Statement showing position of State Government investment in such Public Sector PSUs accounts of which were in arrears

(₹ in crore)

Sl. No.	Name of PSU	Number of PSUs	Period upto which Accounts finalised	Period for which accounts are in arrears	Paid up Capital including share application money as per finalised accounts	Investment made by State Government during the period for which accounts were in arrear				
						Equity	Loans	Grant	Subsidy	Total
1	2	3	4	5	6	7	8	9	10	11 (7+8+9+10)
I	Functional PSUs									
A	Government companies									
X	Power Sector PSUs									
1	Uttar Pradesh Rajya Vidyut Utpadan Nigam Limited (including subsidiary JVUNL)	2	2019-20	2020-21	15,200.69	1,345.09	0.00	0.00	0.00	1,345.09
2	Uttar Pradesh Power Transmission Corporation Limited	1	2019-20	2020-21	15,576.01	1,947.13	0.00	69.38	0.00	2,016.51
3	Uttar Pradesh Power Corporation Limited (including PuVVNL, PVVNL, DVVNL, MVVNL and KESCO)	6	2019-20	2020-21	97,164.01	7,276.25	0.00	622.75	9657.17	17,556.17
	Sub Total -IA(X)	9			1,27,940.71	10,568.47	0.00	692.13	9,657.17	20,917.77
Y	Other than Power Sector PSUs									
4	Uttar Pradesh Medical Supply Corporation Limited	1	2019-20	2020-21	10.16	0.83	0.00	0.00	0.00	0.83
5	The Pradeshiya Industrial and Investment Corporation of U P Limited	1	2018-19	2019-20 to 2020-21	135.58	0.00	90.83	0.00	0.00	90.83
6	Uttar Pradesh Electronics Corporation Limited.	1	2018-19	2019-20 to 2020-21	91.54	0.00	0.00	68.18	0.00	68.18
7	Uttar Pradesh Development Systems Corporation Limited	1	2018-19	2019-20 to 2020-21	1.00	0.00	0.00	1.00	0.00	1.00
8	Uttar Pradesh State Spinning Company Limited	1	2018-19	2019-20 to 2020-21	93.24	0.00	7.45	0.00	0.00	7.45

Sl. No.	Name of PSU	Number of PSUs	Period upto which Accounts finalised	Period for which accounts are in arrears	Paid up Capital including share application money as per finalised accounts	Investment made by State Government during the period for which accounts were in arrear				
						Equity	Loans	Grant	Subsidy	Total
9	Lucknow City Transport Services Limited (Incorporated on 01 February 2010)	1	Nil	2009-10 to 2020-21	0.00	17.84	0.00	133.55	0.00	151.39
10	Meerut City Transport Services Limited	1	2013-14	2014-15 to 2020-21	0.05	0.00	0.00	21.95	0.00	21.95
11	Allahabad City Transport Services Limited	1	2017-18	2018-19 to 2020-21	4.91	0.00	0.00	39.61	0.00	39.61
12	Agra Mathura City Transport Services Limited	1	Nil	2010-11 to 2020-21	0.00	0.00	0.00	0.00	7.25	7.25
13	Kanpur City Transport Services Limited (Incorporated w.e.f. 28 April 2010)	1	Nil	2010-11 to 2020-21	0.00	0.05	0.00	67.03	8.75	75.83
14	Varanasi City Transport Services Limited	1	Nil	2010-11 to 2020-21	0.00	0.05	0.00	27.63	0.00	27.68
15	Uttar Pradesh Alpsankhyak Vitty Avam Vikas Nigam Limited	1	2005-06	2006-07 to 2020-21	30.00	0.00	0.00	64.22	0.00	64.22
16	Uttar Pradesh Pichhara Varg Vitta Evam Vikas Nigam Limited	1	2015-16	2016-17 to 2020-21	12.23	0.00	57.43	0.00	0.00	57.43
17	Uttar Pradesh Scheduled Caste Finance and Development Corporation Limited	1	2015-16	2016-17 to 2020-21	230.42	9.95	0.00	0.00	264.17	274.12
18	Uttar Pradesh Food and Essential Commodities Corporation Limited	1	2010-11	2011-12 to 2020-21	5.50	6.83	0.00	0.00	0.00	6.83
19	Uttar Pradesh Mahila Kalyan Nigam Limited	1	2015-16	2016-17 to 2020-21	5.19	0.00	0.00	12.83	0.00	12.83
20	Uttar Pradesh Waqf Vikas Nigam Limited	1	2003-04	2004-05 to 2020-21	5.25	4.75	0.00	0.00	0.00	4.75
21	Uttar Pradesh State Agro Industrial Corporation Limited	1	2010-11	2011-12 to 2020-21	46.30	10.41	0.00	0.00	0.00	10.41

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Sl. No.	Name of PSU	Number of PSUs	Period upto which Accounts finalised	Period for which accounts are in arrears	Paid up Capital including share application money as per finalised accounts	Investment made by State Government during the period for which accounts were in arrear				
						Equity	Loans	Grant	Subsidy	Total
22	Uttar Pradesh State Sugar Corporation Limited	1	2015-16	2016-17 to 2020-21	1,648.31	0.00	899.00	0.00	0.00	899.00
23	Uttar Pradesh Handicrafts Development and Marketing Corporation Limited	1	2007-08	2008-09 to 2020-21	7.24	0.00	5.00	2.68	0.00	7.68
24	Uttar Pradesh Beej Vikas Nigam Limited	1	2017-18	2018-19 to 2020-21	6.92	0.00	0.00	29.03	0.00	29.03
25	Uttar Pradesh Bhumi Sudhar Nigam	1	2017-18	2018-19 to 2020-21	1.50	0.00	0.00	150.00	0.00	150.00
26	Uttar Pradesh Matsya Vikas Nigam Limited		2016-17	2017-18 to 2020-21	1.07	0.00	0.00	31.35	0.00	31.35
	Sub Total -IA(Y)	22			2,336.41	50.71	1,059.71	649.06	280.17	2,039.65
	Total of IA=IA(X)+IA(Y)	31			1,30,277.12	10,619.18	1,059.71	1,341.19	9,937.34	22,957.42
B	Government controlled other Companies									
X	Power Sector PSUs									
	Sub Total -IB(X)	0			0.00	0.00	0.00	0.00	0.00	0.00
Y	Other than Power Sector PSUs									
27	Agra Smart City Limited	1	2019-20	2020-21	216.00	107.00	0.00	294.00	0.00	401.00
28	Aligarh Smart City Limited	1	2019-20	2020-21	2.00	0.00	0.00	176.50	0.00	176.50
29	Prayagraj Smart City Limited	1	2019-20	2020-21	0.50	0.00	0.00	220.00	0.00	220.00
30	Varanasi Smart City Limited	1	2019-20	2020-21	50.00	75.00	0.00	102.00	0.00	177.00
31	Saharanpur Smart City Limited	1	2019-20	2020-21	0.50	0.25	0.00	59.50	0.00	59.75
32	Noida International Airport Limited (NIAL)	1	2019-20	2020-21	0.01	0.00	1,203.46	0.00	0.00	1,203.46
33	Bareilly Smart City Limited	1	2018-19	2019-20 to 2020-21	0.25	0.25	0.00	59.25	0.00	59.50
34	Moradabad Smart City Limited	1	2018-19	2019-20 to 2020-21	0.50	0.00	0.00	59.50	0.00	59.50
35	Jhansi Smart City Limited	1	Nil	2016-17 to 2020-21	0.00	0.00	0.00	59.50	0.00	59.50
	Sub Total -IB(Y)	9			269.76	182.50	1,203.46	1,030.25	0.00	2,416.21
	Total of IB=IB(X)+IB(Y)	9			269.76	182.50	1,203.46	1,030.25	0.00	2,416.21

Sl. No.	Name of PSU	Number of PSUs	Period upto which Accounts finalised	Period for which accounts are in arrears	Paid up Capital including share application money as per finalised accounts	Investment made by State Government during the period for which accounts were in arrear				
						Equity	Loans	Grant	Subsidy	Total
C	Statutory Corporations									
X	Power Sector PSUs									
	Sub Total -IC(X)				0.00	0.00	0.00	0.00	0.00	0.00
Y	Other than Power Sector PSUs									
36	Uttar Pradesh State Road Transport Corporation	1	2018-19	2019-20 to 2020-21	926.19	57.43	0.00	0.00	0.00	57.43
37	Uttar Pradesh Jal Nigam	1	2016-17	2017-18 to 2020-21	0.00	0.00	0.00	1,714.91	0.00	1,714.91
38	Uttar Pradesh Financial Corporation	1	2012-13	2013-14 to 2020-21	179.28	0.00	102.60	0.00	0.00	102.60
	Sub Total -IC(Y)	3			1,105.47	57.43	102.60	1,714.91	0.00	1,874.94
	Total of IC=IC(X)+IC(Y)	3			1,105.47	57.43	102.60	1,714.91	0.00	1,874.94
	Total of Functional PSUs (Total-I)	43			1,31,652.35	10,859.11	2,365.77	4,086.35	9,937.34	27,180.38
II	Non-Functional PSUs									
A	Government companies									
X	Power Sector PSUs									
	Sub Total -IIA(X)	0			0.00	0.00	0.00	0.00	0.00	0.00
Y	Other than Power Sector PSUs									
39	Uttar Pradesh State Textile Corporation Limited	1	2019-20	2020-21	160.79	0.00	0.92	0.00	0.00	0.92
40	Uttar Pradesh State Handloom Corporation Limited	1	1999-2000	2000-2001 to 2019-20	47.07	0.00	109.51	0.00	0.00	109.51
41	The Indian Turpentine and Rosin Company Limited	1	2010-11	2011-12 to 2019-20	0.22	0.00	0.34	0.00	0.00	0.34
	Sub Total -IIA(Y)	3			208.08	0.00	110.77	0.00	0.00	110.77
	Total of IIA=IIA(X)+IIA(Y)	3			208.08	0.00	110.77	0.00	0.00	110.77
B	Government controlled other Companies									
X	Power Sector PSUs									
	Sub Total -IIB(X)	0			0.00	0.00	0.00	0.00	0.00	0.00
Y	Other than Power Sector PSUs		-	-	-	-	-	-	-	-

Sl. No.	Name of PSU	Number of PSUs	Period upto which Accounts finalised	Period for which accounts are in arrears	Paid up Capital including share application money as per finalised accounts	Investment made by State Government during the period for which accounts were in arrear				
						Equity	Loans	Grant	Subsidy	Total
	Sub Total -IIB(Y)	0			0.00	0.00	0.00	0.00	0.00	0.00
	Total of IIB=IIB(X)+IIB(Y)	0			0.00	0.00	0.00	0.00	0.00	0.00
C	Statutory Corporations									
X	Power Sector PSUs									
	Sub Total -IIC(X)	0			0.00	0.00	0.00	0.00	0.00	0.00
Y	Other than Power Sector PSUs									
	Sub Total -IIC(Y)	0			0.00	0.00	0.00	0.00	0.00	0.00
	Total of IIC=IIC(X)+IIC(Y)	0			0.00	0.00	0.00	0.00	0.00	0.00
	Total of Non-Functional PSUs (Total-II)	3			208.08	0.00	110.77	0.00	0.00	110.77
	Total of Power Sector	9			1,27,940.71	10,568.47	0.00	692.13	9,657.17	20,917.77
	Total of Other than Power Sector	37			3,919.72	290.64	2,476.54	3,394.22	280.17	6,441.57
	Grand Total (Total-I + Total-II)	46			1,31,860.43	10,859.11	2,476.54	4,086.35	9,937.34	27,359.34

Appendix-6.2

(Referred to in Paragraph 6.5.1)

List of PSUs which submitted their accounts during 1 January 2021 to 30 November 2021

SL. No.	Name of PSUs	Year Upto which Accounts finalised	Number of Account(s) Submitted	Years of which account submitted
A. Government Companies				
1.	Uttar Pradesh Rajya Vidyut Utpadan Nigam Limited	2019-20	1	2019-20
2.	Uttar Pradesh Jal Vidyut Nigam Limited	2019-20	2	2018-19 & 2019-20
3.	Jawaharpur Vidyut Utpadan Nigam Limited (Subsidiary of Uttar Pradesh Rajya Vidyut Utpadan Nigam Limited)	2019-20	1	2019-20
4.	Uttar Pradesh Power Transmission Corporation Limited	2019-20	1	2019-20
5.	Uttar Pradesh Power Corporation Limited	2019-20	2	2018-19 & 2019-20
6.	Purvanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	2019-20	1	2019-20
7.	Paschimanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	2019-20	1	2019-20
8.	Madhyanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	2019-20	1	2019-20
9.	Dakshinanchal Vidyut Vitaran Nigam Limited (Subsidiary of Uttar Pradesh Power Corporation Limited)	2019-20	1	2019-20
10.	Kanpur Electricity Supply Company Limited (Uttar Pradesh Power Corporation Limited)	2019-20	1	2019-20
11.	UCM Coal Company Limited	2019-20	1	2019-20
12.	Southern UP Power Transmission Company Limited	2020-21	2	2019-20 & 2020-21
13.	U P Metro Rail Corporation Limited (erstwhile Lucknow Metro Rail Corporation Limited)	2020-21	2	2019-20 & 2020-21
14.	NOIDA Metro Rail Corporation Limited	2020-21	1	2020-21
15.	Uttar Pradesh Mahila Kalyan Nigam Limited	2015-16	2	2014-15 & 2015-16
16.	Uttar Pradesh Projects Corporation Limited	2018-19	1	2018-19
17.	Uttar Pradesh Rajkiya Nirman Nigam Limited	2014-15	1	2014-15
18.	Uttar Pradesh State Bridge Corporation Limited	2017-18	2	2016-17 & 2017-18
19.	Shreetron India Limited (Subsidiary of Uttar Pradesh Electronics Corporation Limited)	2019-20	1	2019-20
20.	Uptron Powertronics Limited (subsidiary of Uttar Pradesh Electronics Corporation)	2018-19	1	2018-19
21.	Uttar Pradesh Electronics Corporation Limited	2018-19	1	2018-19
22.	Uttar Pradesh Rajya Chini Avam Ganna Vikas Nigam Limited	2016-17	1	2016-17

SL. No.	Name of PSUs	Year Upto which Accounts finalised	Number of Account(s) Submitted	Years of which account submitted
23.	Uttar Pradesh Small Industries Corporation Limited	2010-11	1	2010-11
24.	Uttar Pradesh State Spinning Company Limited	2018-19	1	2018-19
25.	Uttar Pradesh Purva Sainik Kalyan Nigam Limited	2019-20	1	2019-20
26.	Uttar Pradesh Beej Vikas Nigam Limited	2017-18	2	2016-17 & 2017-18
27.	Uttar Pradesh Bhumi Sudhar Nigam	2017-18	1	2017-18
28.	Uttar Pradesh Export Promotion Council	2020-21	1	2020-21
29.	Uttar Pradesh Medical Supply Corporation Limited	2019-20	2	2018-19 & 2019-20
30.	Uttar Pradesh State Textile Corporation Limited	2019-20	1	2019-20
31.	Uttar Pradesh State Handloom Corporation Limited	2000-01	1	2000-01
32.	Uttar Pradesh State Yarn Company Limited	2020-21	1	2020-21
33.	Uttar Pradesh Poultry and Livestock Specialties Limited	2013-14	1	2013-14
B. Government controlled other Companies				
1.	Lucknow Smart City Limited	2018-19	2	2017-18 & 2018-19
2.	Agra Smart City Limited	2019-20	1	2019-20
3.	Aligarh Smart City Limited	2019-20	1	2019-20
4.	Bareilly Smart City Limited	2018-19	1	2018-19
5.	Prayagraj Smart City Limited	2019-20	3	2017-18, 2018-19 & 2019-20
6.	Varanasi Smart City Limited	2019-20	1	2019-20
7.	Moradabad Smart City Limited	2018-19	1	2018-19
8.	Saharanpur Smart City Limited	2019-20	2	2018-19 & 2019-20
9.	Noida International Airport Limited (NIAL)	2019-20	2	2018-19 & 2019-20
10.	DMIC Integrated Industrial Township Greater Noida Limited	2020-21	1	2020-21
C. Statutory Corporations				
1.	Uttar Pradesh State Warehousing Corporation	2017-18	1	2017-18
2.	Uttar Pradesh Forest Corporation	2019-20	2	2018-19 & 2019-20
3.	Uttar Pradesh Awas Evam Vikas Parishad	2019-20	1	2019-20

Appendix-6.3

(Referred to in paragraphs 6.5.1 and 6.5.4)

List of PSUs where Comments issued by the CAG during 1 January 2021 to 30 November 2021

Sl. No.	Name of the Companies/Corporations	Year for which Comments issued
A. Government Companies		
1	Kanpur Electricity Supply Company Limited	2018-19
2	Southern U P Power Transmission Company Limited	2019-20
3	Uttar Pradesh Power Transmission Company Limited	2019-20
4	Shretron India Limited	2018-19
5	Allahabad City Transport Services Limited	2017-18
6	Chhata Sugar Company Limited	2016-17
7	Meerut City Transport Services Limited	2012-13
8	Nandganj Sihori Sugar Company Limited	2016-17
9	Pichhara Varg Vitta Evam Vikas Nigam Limited	2015-16
10	The Pradeshiya Industrial and Investment Corporation of UP Limited	2018-19
11	Uttar Pradesh Handloom Corporation Limited	2000-01
12	Uttar Pradesh Project Corporation Limited	2017-18
13	Uttar Pradesh State Tourism Corporation Limited	2016-17
14	Uttar Pradesh Beej Vikas Nigam	2015-16
15	Uttar Pradesh State Bridge Corporation Limited	2016-17
16	Uttar Pradesh Jal Vidyut Nigam Limited	2017-18
17	Uttar Pradesh Metro Rail Corporation Limited	2019-20 & 2020-21
18	Uttar Pradesh Police Awas Nigam Limited	2018-19
19	Uttar Pradesh State Construction and Infrastructure Development Corporation Limited	2017-18
20	Uttar Pradesh State Spinning Company Limited	2017-18
21	Uttar Pradesh State Textile Corporation Limited	2017-18
B. Government controlled other Companies		
1	Aligarh Smart City Limited	2018-19
2	Bareilly Smart City Limited	2018-19
3	Moradabad Smart City Limited	2018-19
C. Statutory Corporations		
1	Uttar Pradesh Forest Corporation	2018-19
2	Uttar Pradesh Jal Nigam	2012-13
3	Uttar Pradesh State Road Transport Corporation	2017-18
4	Uttar Pradesh Warehousing Corporation Limited	2017-18

